

# Context-Free Languages

Hinrich Schütze

CIS, LMU, 2013-11-25

Slides based on RPI CSCI 2400

Thanks to Costas Busch

# Take-away

Definition context-free grammar

Definition context-free language

Derivation, sentential form, sentence

Derivation trees

Ambiguity

Context-free grammars for natural language

# Terminology

For our purposes in this class:

Context free grammar

=

Constituency grammar

=

Phrase structure grammar

# Grammars

Grammars express languages

Example: the English language

$\langle \textit{sentence} \rangle \rightarrow \langle \textit{noun\_phrase} \rangle \langle \textit{predicate} \rangle$

$\langle \textit{noun\_phrase} \rangle \rightarrow \langle \textit{article} \rangle \langle \textit{noun} \rangle$

$\langle \textit{predicate} \rangle \rightarrow \langle \textit{verb} \rangle$

$\langle \textit{article} \rangle \rightarrow a$

$\langle \textit{article} \rangle \rightarrow \textit{the}$

$\langle \textit{noun} \rangle \rightarrow \textit{cat}$

$\langle \textit{noun} \rangle \rightarrow \textit{dog}$

$\langle \textit{verb} \rangle \rightarrow \textit{runs}$

$\langle \textit{verb} \rangle \rightarrow \textit{walks}$

## A derivation of “the dog walks”:

$\langle sentence \rangle \Rightarrow \langle noun\_phrase \rangle \langle predicate \rangle$   
 $\Rightarrow \langle noun\_phrase \rangle \langle verb \rangle$   
 $\Rightarrow \langle article \rangle \langle noun \rangle \langle verb \rangle$   
 $\Rightarrow the \langle noun \rangle \langle verb \rangle$   
 $\Rightarrow the \ dog \langle verb \rangle$   
 $\Rightarrow the \ dog \ walks$

## A derivation of “a cat runs”:

$\langle \textit{sentence} \rangle \Rightarrow \langle \textit{noun\_phrase} \rangle \langle \textit{predicate} \rangle$   
 $\Rightarrow \langle \textit{noun\_phrase} \rangle \langle \textit{verb} \rangle$   
 $\Rightarrow \langle \textit{article} \rangle \langle \textit{noun} \rangle \langle \textit{verb} \rangle$   
 $\Rightarrow a \langle \textit{noun} \rangle \langle \textit{verb} \rangle$   
 $\Rightarrow a \textit{ cat} \langle \textit{verb} \rangle$   
 $\Rightarrow a \textit{ cat runs}$

Language of the grammar:

$$L = \{ \text{"a cat runs"}, \\ \text{"a cat walks"}, \\ \text{"the cat runs"}, \\ \text{"the cat walks"}, \\ \text{"a dog runs"}, \\ \text{"a dog walks"}, \\ \text{"the dog runs"}, \\ \text{"the dog walks"} \}$$



# Notation

## Production Rules



$\langle noun \rangle \rightarrow cat$

$\langle noun \rangle \rightarrow dog$



Variable

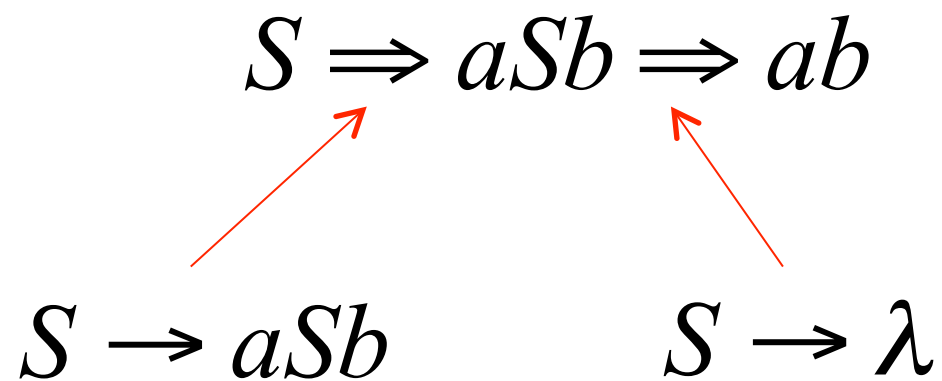
Terminal

# Another Example

Grammar:  $S \rightarrow aSb$

$S \rightarrow \lambda$

Derivation of sentence  $ab$ :



Language?

Grammar:  $S \rightarrow aSb$

$S \rightarrow \lambda$

Derivation of sentence  $aabb$  :

$S \Rightarrow aSb \Rightarrow aaSbb \Rightarrow aabb$

$S \rightarrow aSb$

$S \rightarrow \lambda$

Other derivations:

$$S \Rightarrow aSb \Rightarrow aaSbb \Rightarrow aaaSbbb \Rightarrow aaabbbb$$

$$S \Rightarrow aSb \Rightarrow aaSbb \Rightarrow aaaSbbb \\ \Rightarrow aaaaSbbbb \Rightarrow aaabbbbb$$

## Language of the grammar

$$S \rightarrow aSb$$

$$S \rightarrow \lambda$$

$$L = \{a^n b^n : n \geq 0\}$$

# More Notation

**Grammar**  $G = (V, T, S, P)$

$V$ : Set of variables

$T$ : Set of terminal symbols

$S$ : Start variable

$P$ : Set of Production rules

# Example

Grammar  $G$  :  $S \rightarrow aSb$   
 $S \rightarrow \lambda$

$$G = (V, T, S, P)$$

$$V = \{S\}$$

$$T = \{a, b\}$$

$$P = \{S \rightarrow aSb, S \rightarrow \lambda\}$$



# More Notation

## Sentential Form:

A sentence that contains variables and terminals

Example:

$S \Rightarrow aSb \Rightarrow aaSbb \Rightarrow aaaSbbb \Rightarrow aaabbbb$

Sentential Forms

sentence

We write:  $S \xRightarrow{*} aaabbb$

Instead of:

$S \Rightarrow aSb \Rightarrow aaSbb \Rightarrow aaaSbbb \Rightarrow aaabbb$

In general we write:  $w_1 \stackrel{*}{\Rightarrow} w_n$

If:  $w_1 \Rightarrow w_2 \Rightarrow w_3 \Rightarrow \dots \Rightarrow w_n$

By default:

$$w \stackrel{*}{\Rightarrow} w$$

# Example

## Grammar

$$S \rightarrow aSb$$

$$S \rightarrow \lambda$$

## Derivations

$$\begin{array}{c} * \\ S \Rightarrow \lambda \end{array}$$

$$\begin{array}{c} * \\ S \Rightarrow ab \end{array}$$

$$\begin{array}{c} * \\ S \Rightarrow aabb \end{array}$$

$$\begin{array}{c} * \\ S \Rightarrow aaabbb \end{array}$$

# Example

## Grammar

$$S \rightarrow aSb$$

$$S \rightarrow \lambda$$

## Derivations

$$S \xRightarrow{*} aaSbb$$

$$aaSbb \xRightarrow{*} aaaaaSbbbb$$

# Another Grammar Example

Grammar  $G$ :  $S \rightarrow Ab$

$A \rightarrow aAb$

$A \rightarrow \lambda$

Language?



Grammar  $G$  :  $S \rightarrow Ab$   
 $A \rightarrow aAb$   
 $A \rightarrow \lambda$

Derivations:

$$S \rightarrow Ab \rightarrow b$$

$$S \rightarrow Ab \rightarrow aAbb \rightarrow abb$$

$$S \rightarrow Ab \rightarrow aAbb \rightarrow aaAbbb \rightarrow aabbb$$

## More Derivations

$$S \Rightarrow Ab \Rightarrow aAbb \Rightarrow aaAbbb \Rightarrow aaaAbbbb \\ \Rightarrow aaaaAbbbbbb \Rightarrow aaaaabbbbb$$

$$* \\ S \Rightarrow aaaaabbbbb$$

$$* \\ S \Rightarrow aaaaaabbbbbbb$$

$$* \\ S \Rightarrow a^n b^n b$$

# Language of a Grammar

For a grammar  $G$   
with start variable  $S$  :

$$L(G) = \{w : S \xRightarrow{*} w\}$$

String of terminals

## Example

For grammar  $G$  :  $S \rightarrow Ab$

$$A \rightarrow aAb$$

$$A \rightarrow \lambda$$

$$L(G) = \{a^n b^n b : n \geq 0\}$$

Since:  $S \xRightarrow{*} a^n b^n b$

# A Convenient Notation

$$\begin{array}{l} A \rightarrow aAb \\ A \rightarrow \lambda \end{array} \quad \longrightarrow \quad A \rightarrow aAb \mid \lambda$$

$$\begin{array}{l} \langle \textit{article} \rangle \rightarrow a \\ \langle \textit{article} \rangle \rightarrow \textit{the} \end{array} \quad \longrightarrow \quad \langle \textit{article} \rangle \rightarrow a \mid \textit{the}$$

# Revisit first grammar

A context-free grammar  $G$ :  $S \rightarrow aSb$

$S \rightarrow \lambda$

A derivation:

$S \Rightarrow aSb \Rightarrow aaSbb \Rightarrow aabb$

A context-free grammar  $G$ :  $S \rightarrow aSb$   
 $S \rightarrow \lambda$

Another derivation:

$S \Rightarrow aSb \Rightarrow aaSbb \Rightarrow aaaSbbb \Rightarrow aaabbbb$

$$S \rightarrow aSb$$

$$S \rightarrow \lambda$$

$$L(G) = \{a^n b^n : n \geq 0\}$$

Describes parentheses: ((( ( )))



# Example

A context-free grammar  $G$ :  $S \rightarrow aSa$

$S \rightarrow bSb$

$S \rightarrow \lambda$

Language?

A context-free grammar  $G$ :

$$S \rightarrow aSa$$
$$S \rightarrow bSb$$
$$S \rightarrow \lambda$$

Another derivation:

$$S \Rightarrow aSa \Rightarrow abSba \Rightarrow abaSaba \Rightarrow abaaba$$

$$S \rightarrow aSa$$

$$S \rightarrow bSb$$

$$S \rightarrow \lambda$$

$$L(G) = \{ww^R : w \in \{a,b\}^*\}$$

# Example

A context-free grammar  $G$ :  $S \rightarrow aSb$

$$S \rightarrow SS$$

$$S \rightarrow \lambda$$

Language?

A context-free grammar  $G$ :

$$S \rightarrow aSb$$
$$S \rightarrow SS$$
$$S \rightarrow \lambda$$

Two derivations:

$$S \Rightarrow SS \Rightarrow aSbS \Rightarrow abS \Rightarrow abaSb \Rightarrow abab$$
$$S \Rightarrow SS \Rightarrow aSbS \Rightarrow abS \Rightarrow ab$$

$$S \rightarrow aSb$$

$$S \rightarrow SS$$

$$S \rightarrow \lambda$$

$$L(G) = \{w : n_a(w) = n_b(w), \\ \text{and } n_a(v) \geq n_b(v) \\ \text{in any prefix } v\}$$

Interpretation?



$$S \rightarrow aSb$$

$$S \rightarrow SS$$

$$S \rightarrow \lambda$$

$$L(G) = \{w : n_a(w) = n_b(w), \\ \text{and } n_a(v) \geq n_b(v) \\ \text{in any prefix } v\}$$

Describes

matched

parentheses:

$() (( ( ( ) ) ) ) (( ) )$

# Definition: Context-Free Grammars

Grammar  $G = (V, T, S, P)$

Variables

Terminal  
symbols

Start  
variable

Productions of the form:

$$A \rightarrow x$$

Variable

String of variables  
and terminals

$$G = (V, T, S, P)$$

$$L(G) = \{w : S \xRightarrow{*} w, w \in T^*\}$$

# Definition: Context-Free Languages

A language  $L$  is context-free

if and only if

there is a context-free grammar  $G$   
with  $L = L(G)$

# Derivation Order

1.  $S \rightarrow AB$
2.  $A \rightarrow aaA$
3.  $A \rightarrow \lambda$
4.  $B \rightarrow Bb$
5.  $B \rightarrow \lambda$

Leftmost derivation:

$$S \xRightarrow{1} AB \xRightarrow{2} aaAB \xRightarrow{3} aaB \xRightarrow{4} aaBb \xRightarrow{5} aab$$

Rightmost derivation:

$$S \xRightarrow{1} AB \xRightarrow{4} ABb \xRightarrow{5} Ab \xRightarrow{2} aaAb \xRightarrow{3} aab$$

Language?

$$S \rightarrow aAB$$

$$A \rightarrow bBb$$

$$B \rightarrow A \mid \lambda$$

Leftmost derivation:

$$\begin{aligned} S &\Rightarrow aAB \Rightarrow abBbB \Rightarrow abAbB \Rightarrow abbBbbB \\ &\Rightarrow abbbbB \Rightarrow abbbb \end{aligned}$$

Rightmost derivation:

$$\begin{aligned} S &\Rightarrow aAB \Rightarrow aA \Rightarrow abBb \Rightarrow abAb \\ &\Rightarrow abbBbb \Rightarrow abbbb \end{aligned}$$

Language?



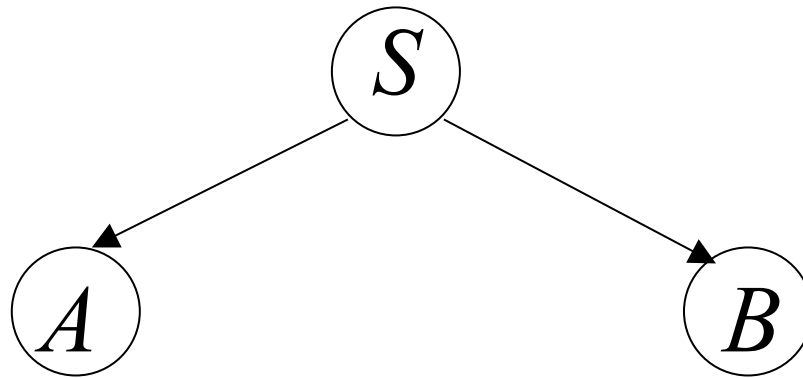
# Derivation Trees

$$S \rightarrow AB$$

$$A \rightarrow aA \mid \lambda$$

$$B \rightarrow Bb \mid \lambda$$

$$S \Rightarrow AB$$

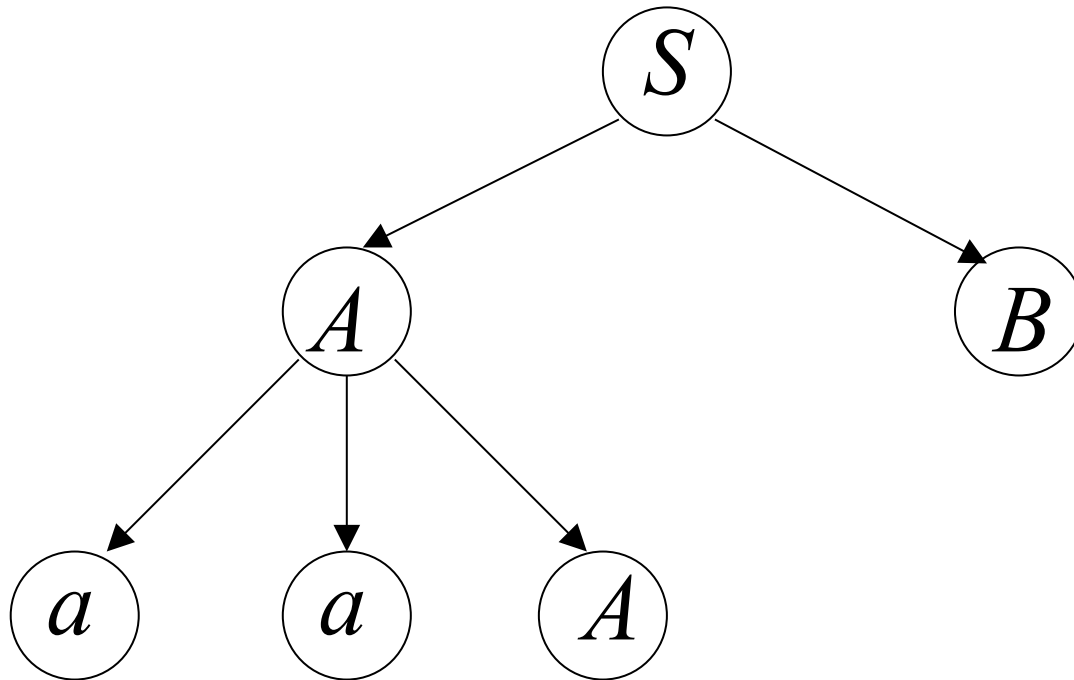


$$S \rightarrow AB$$

$$A \rightarrow aaA \mid \lambda$$

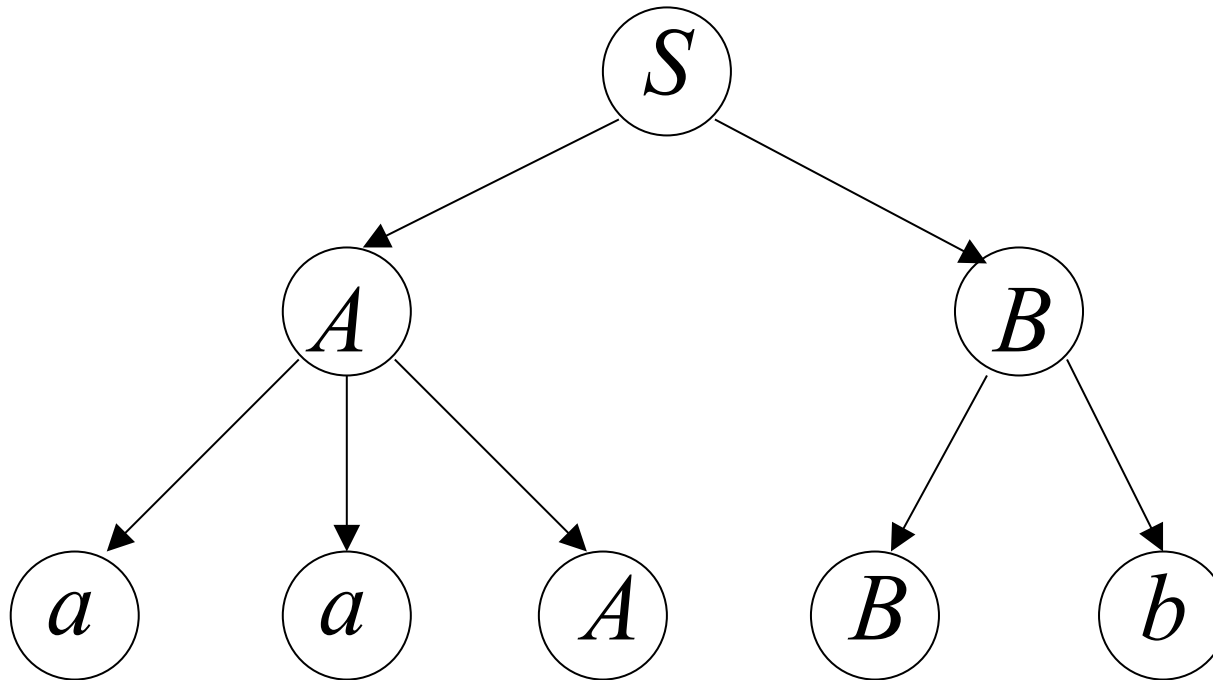
$$B \rightarrow Bb \mid \lambda$$

$$S \Rightarrow AB \Rightarrow aaAB$$



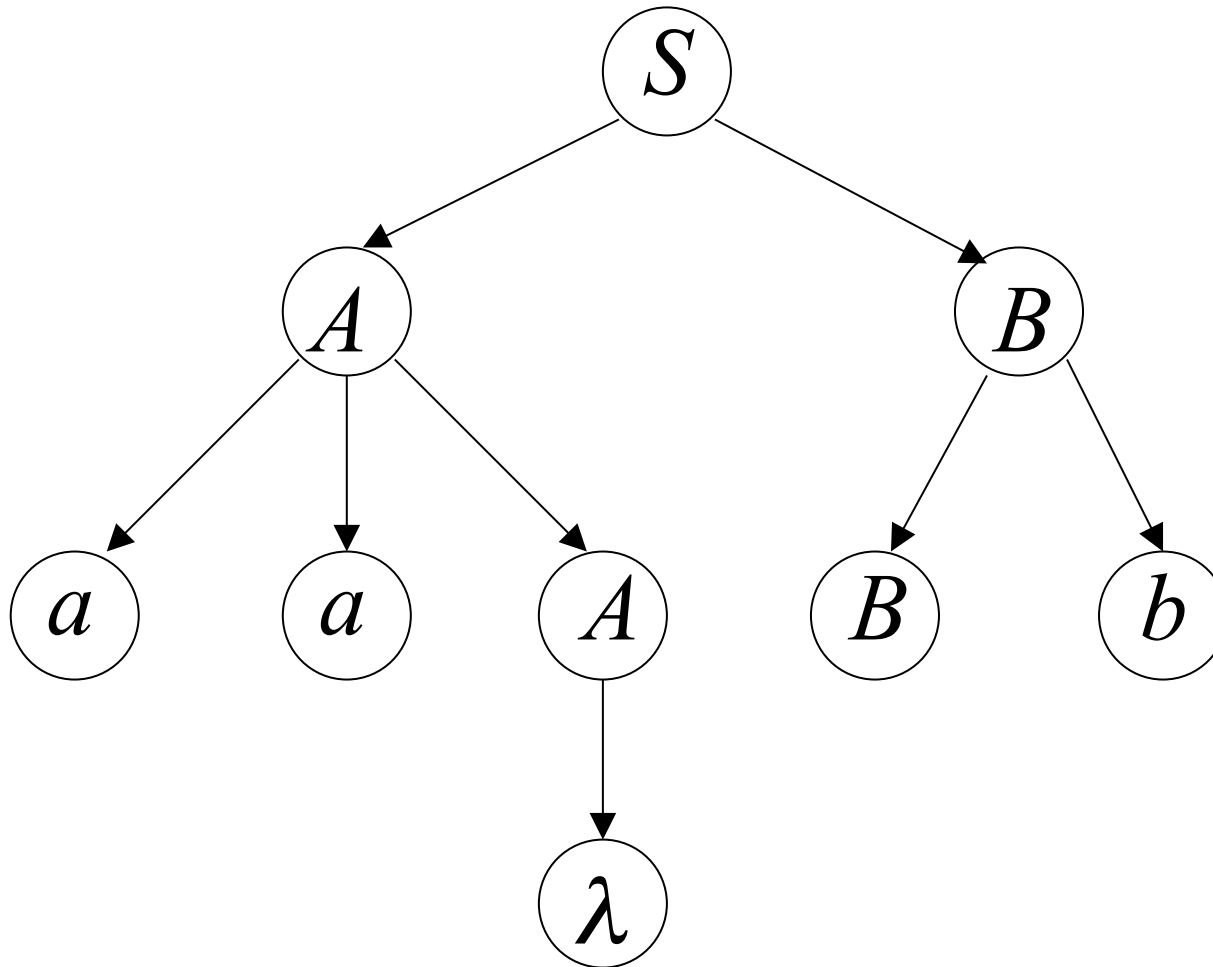
$$S \rightarrow AB \quad A \rightarrow aaA \mid \lambda \quad B \rightarrow Bb \mid \lambda$$

$$S \Rightarrow AB \Rightarrow aaAB \Rightarrow aaABb$$



$$S \rightarrow AB \quad A \rightarrow aaA \mid \lambda \quad B \rightarrow Bb \mid \lambda$$

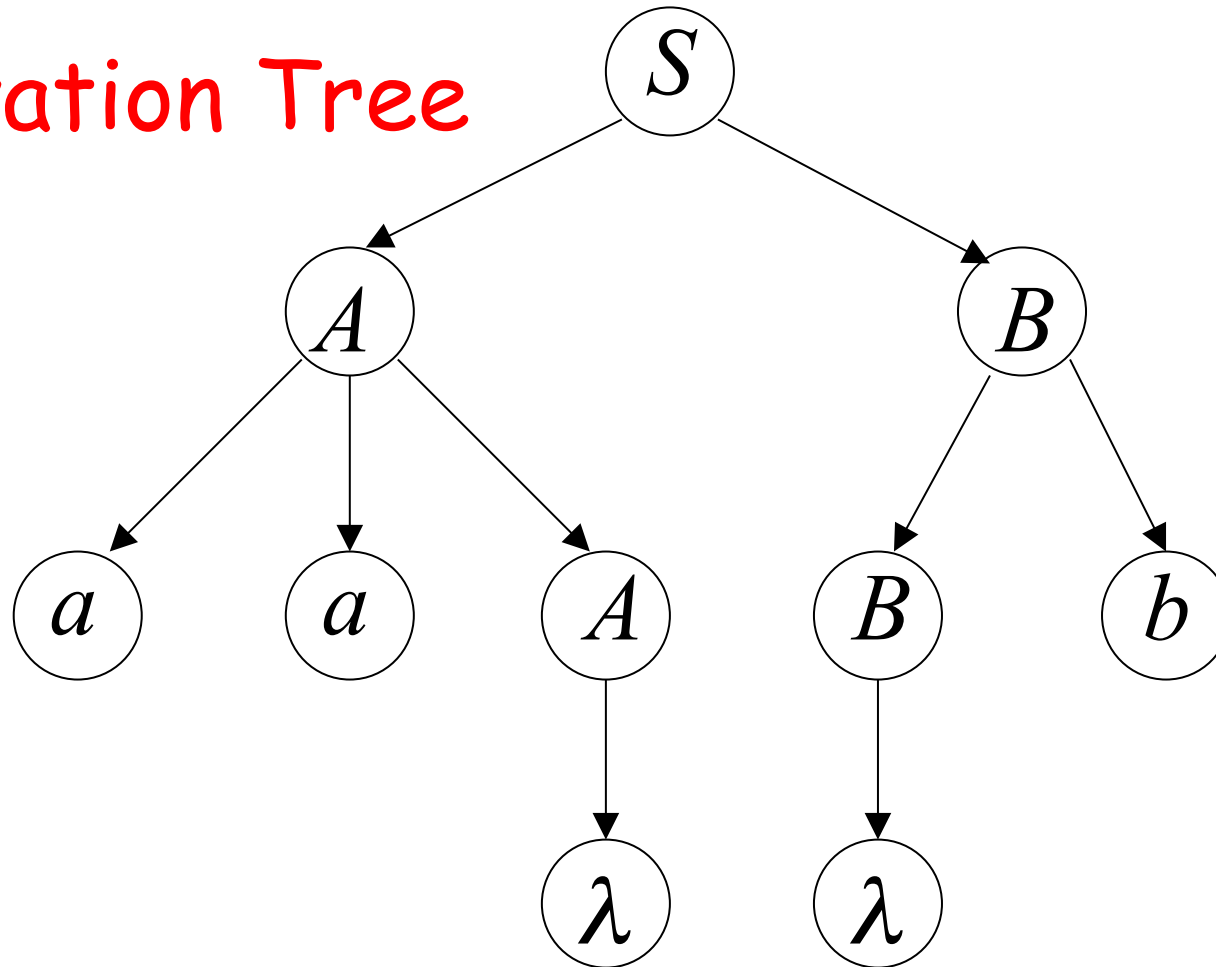
$$S \Rightarrow AB \Rightarrow aaAB \Rightarrow aaABb \Rightarrow aaBb$$



$$S \rightarrow AB \quad A \rightarrow aaA \mid \lambda \quad B \rightarrow Bb \mid \lambda$$

$$S \Rightarrow AB \Rightarrow aaAB \Rightarrow aaABb \Rightarrow aaBb \Rightarrow aab$$

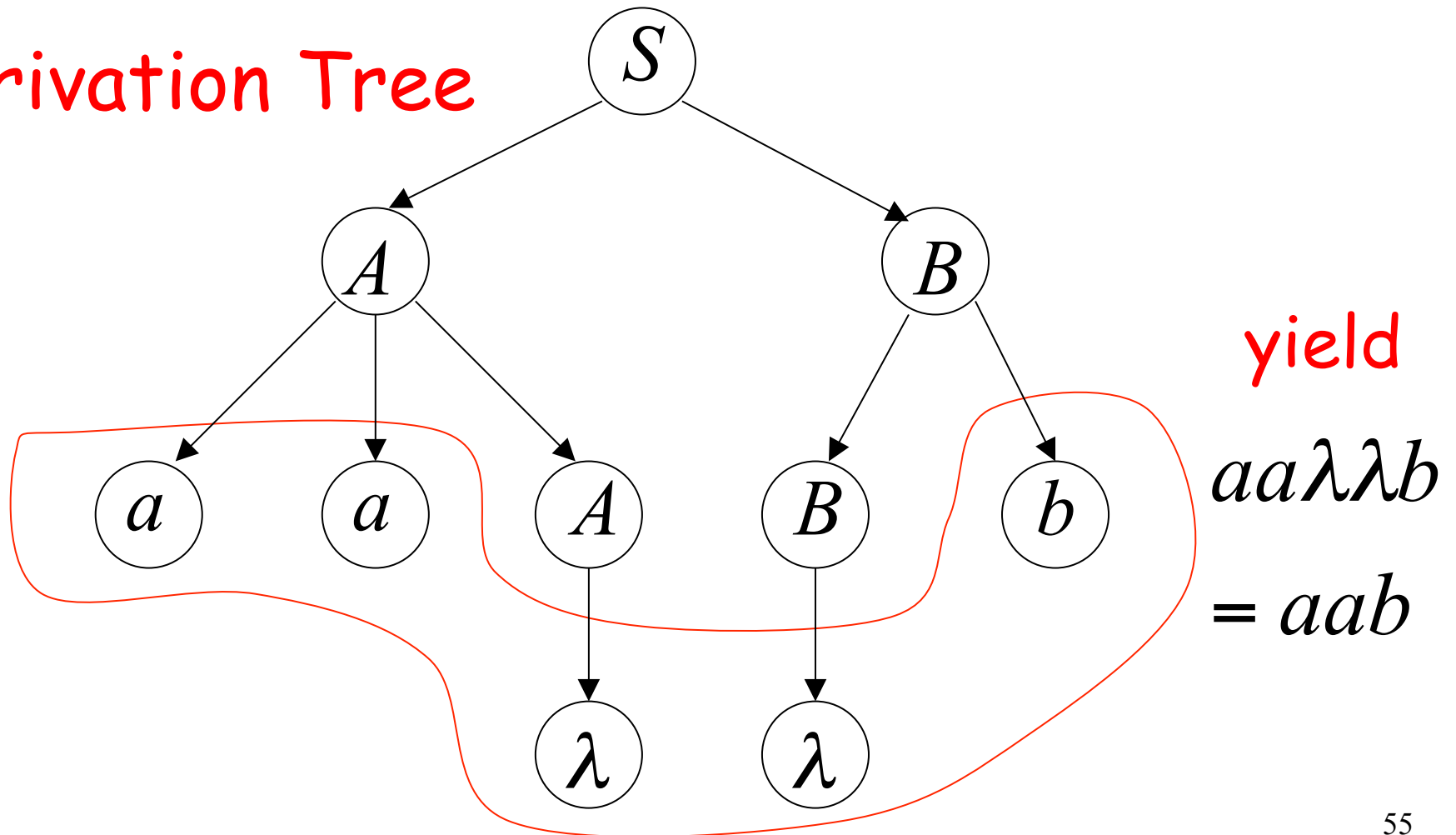
Derivation Tree



$$S \rightarrow AB \quad A \rightarrow aaA \mid \lambda \quad B \rightarrow Bb \mid \lambda$$

$$S \Rightarrow AB \Rightarrow aaAB \Rightarrow aaABb \Rightarrow aaBb \Rightarrow aab$$

### Derivation Tree

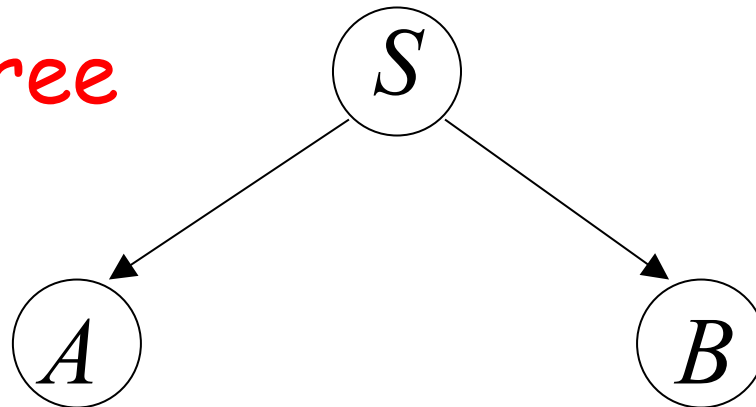


# Partial Derivation Trees

$$S \rightarrow AB \quad A \rightarrow aaA \mid \lambda \quad B \rightarrow Bb \mid \lambda$$

$$S \Rightarrow AB$$

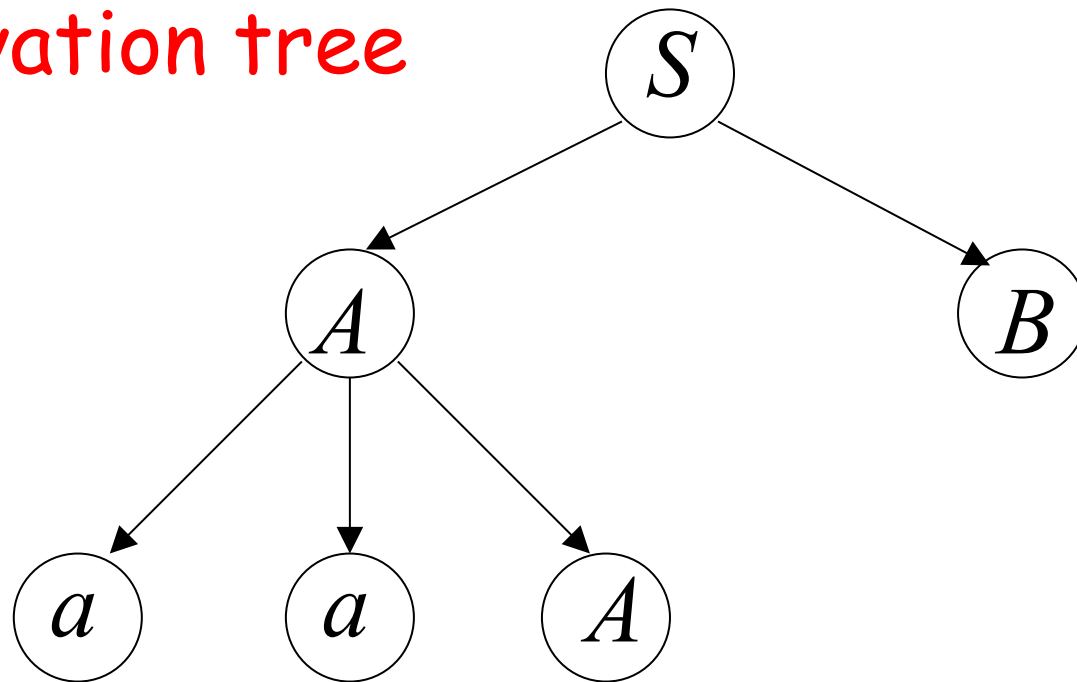
Partial derivation tree





$$S \Rightarrow AB \Rightarrow aaAB$$

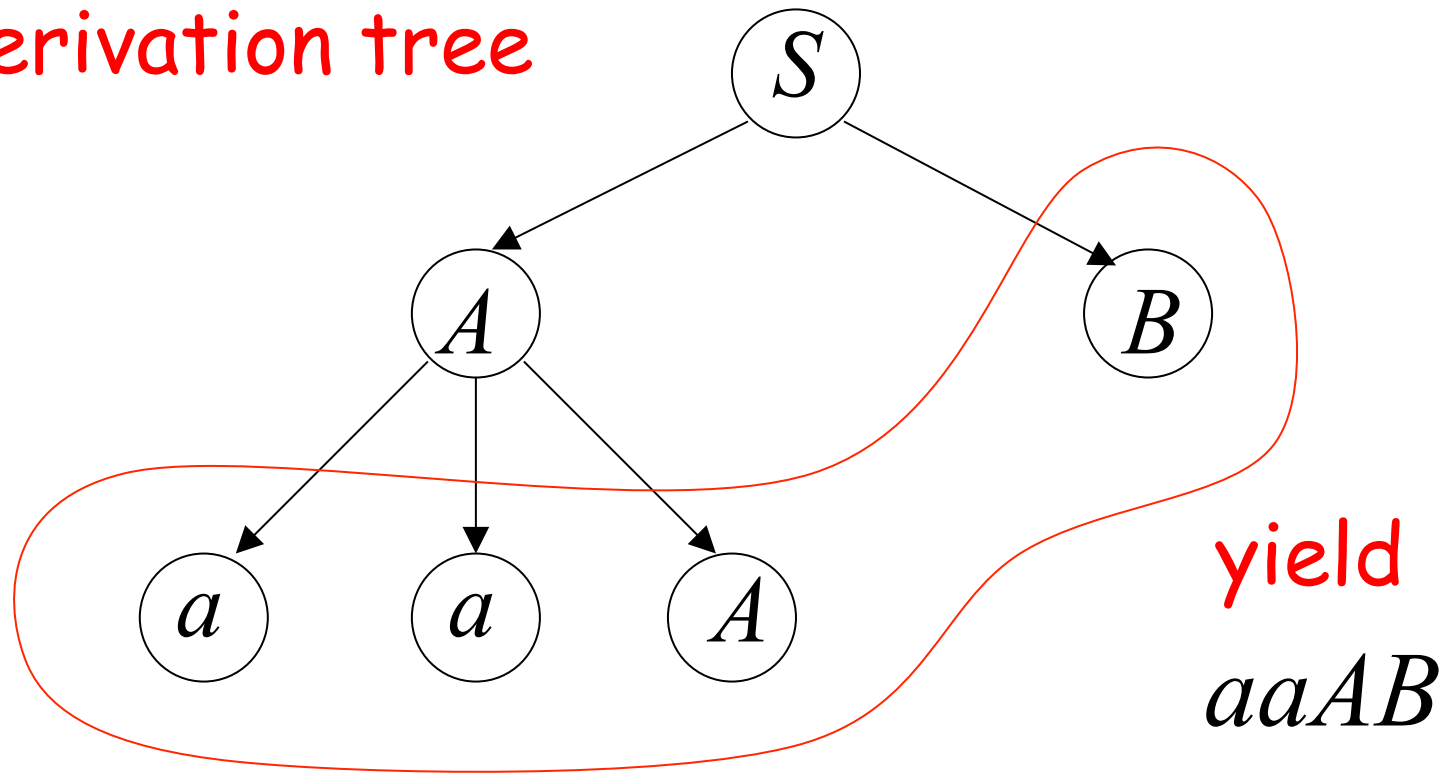
Partial derivation tree



$$S \Rightarrow AB \Rightarrow aaAB$$

sentential  
form

Partial derivation tree



Sometimes, derivation order doesn't matter

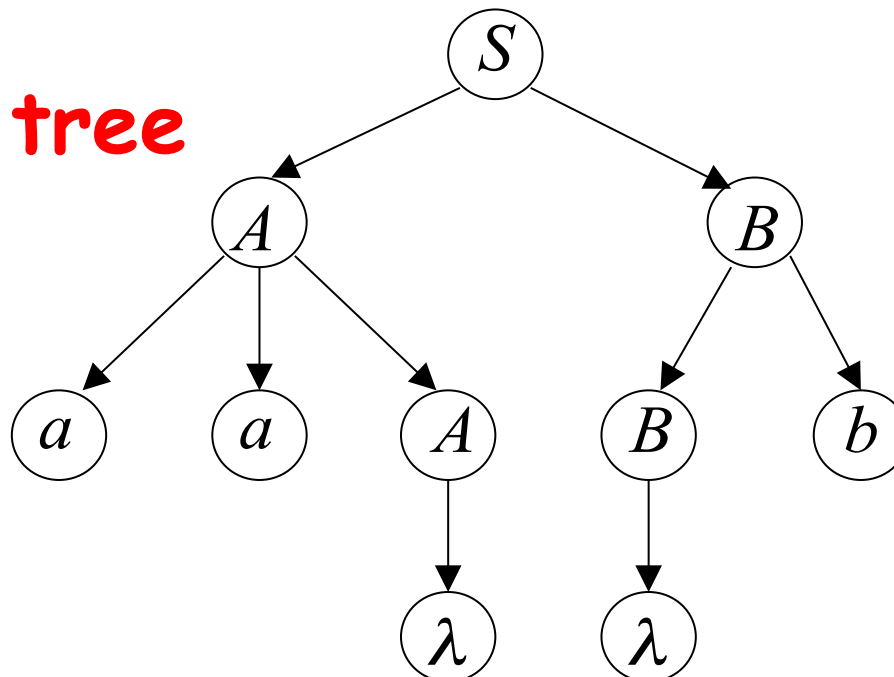
**Leftmost:**

$S \Rightarrow AB \Rightarrow aaAB \Rightarrow aaB \Rightarrow aaBb \Rightarrow aab$

**Rightmost:**

$S \Rightarrow AB \Rightarrow ABb \Rightarrow Ab \Rightarrow aaAb \Rightarrow aab$

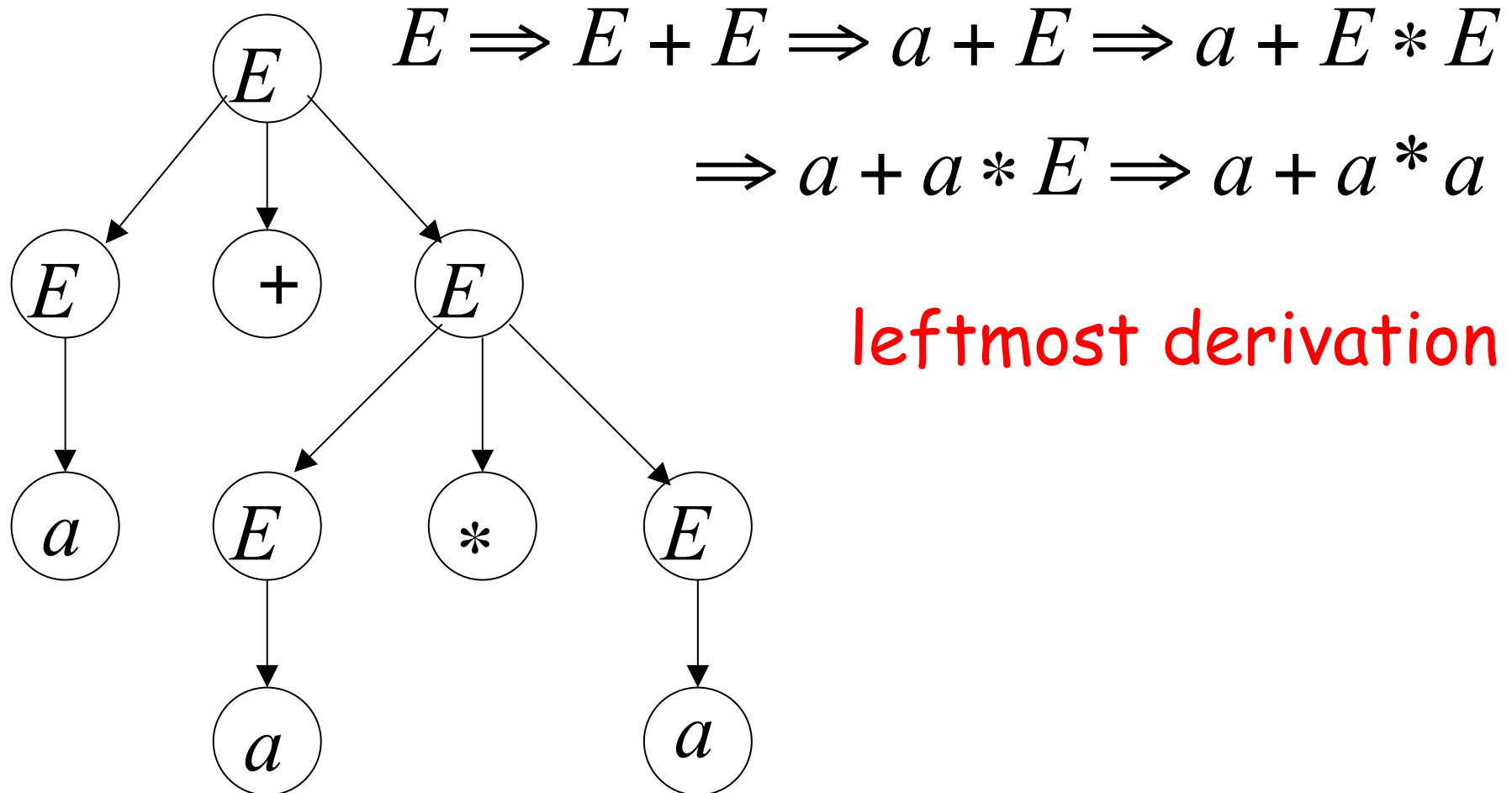
**Same derivation tree**



# Ambiguity

$$E \rightarrow E + E \mid E * E \mid (E) \mid a$$

$$a + a * a$$



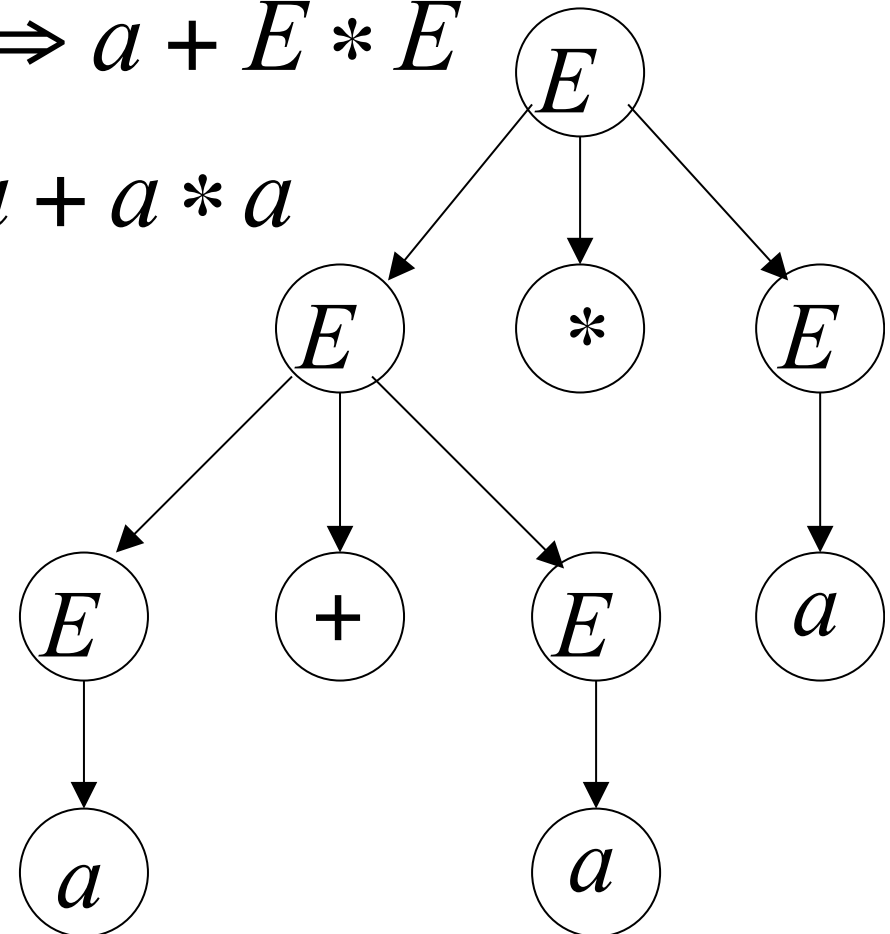
$$E \rightarrow E + E \mid E * E \mid (E) \mid a$$

$$a + a * a$$

$$E \Rightarrow E * E \Rightarrow E + E * E \Rightarrow a + E * E$$

$$\Rightarrow a + a * E \Rightarrow a + a * a$$

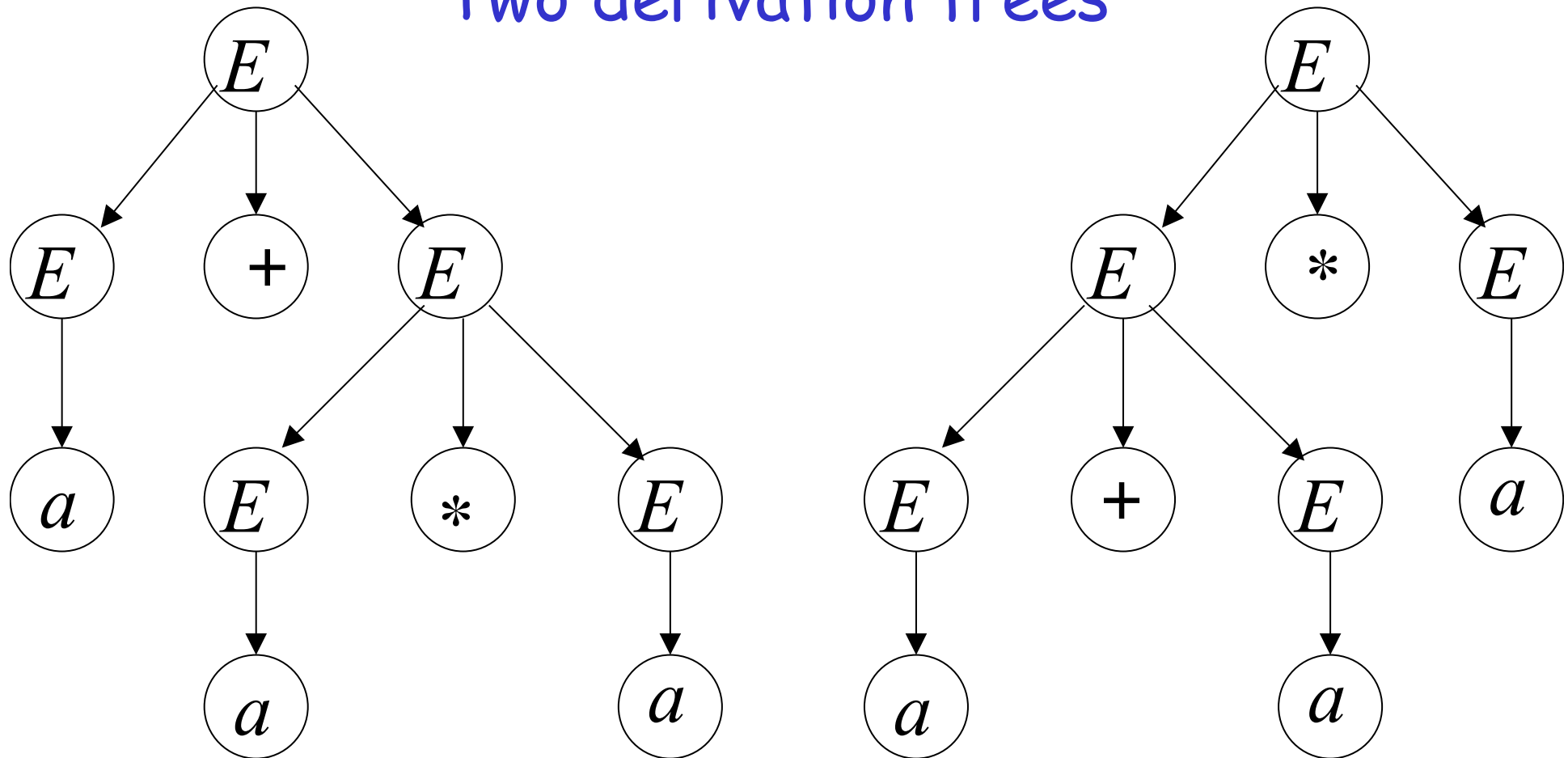
leftmost derivation



$$E \rightarrow E + E \mid E * E \mid (E) \mid a$$

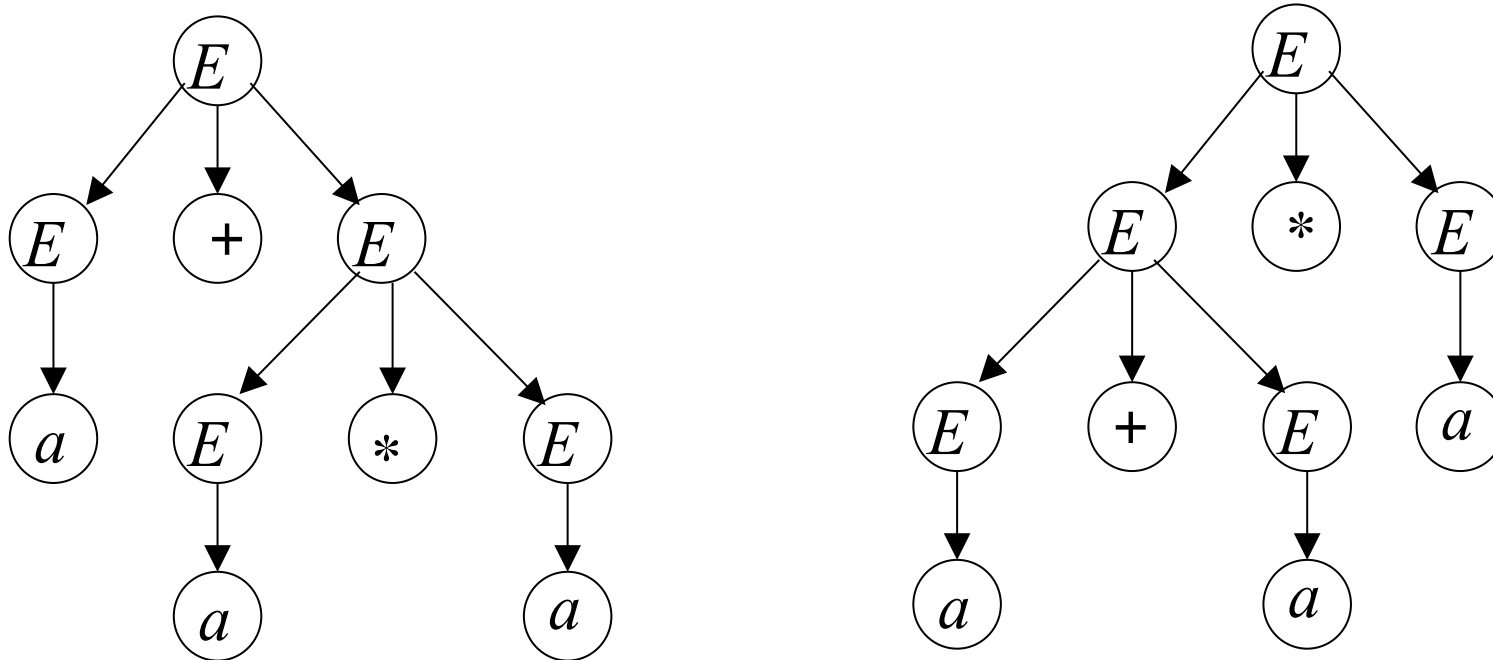
$$a + a * a$$

Two derivation trees



The grammar  $E \rightarrow E + E \mid E * E \mid (E) \mid a$   
is ambiguous:

string  $a + a * a$  has two derivation trees





The grammar  $E \rightarrow E + E \mid E * E \mid (E) \mid a$   
is ambiguous:

string  $a + a * a$  has two leftmost derivations

$$\begin{aligned} E &\Rightarrow E + E \Rightarrow a + E \Rightarrow a + E * E \\ &\Rightarrow a + a * E \Rightarrow a + a * a \end{aligned}$$

$$\begin{aligned} E &\Rightarrow E * E \Rightarrow E + E * E \Rightarrow a + E * E \\ &\Rightarrow a + a * E \Rightarrow a + a * a \end{aligned}$$

## Definition:

A context-free grammar  $G$  is **ambiguous**

if some string  $w \in L(G)$  has:

two or more derivation trees

In other words:

A context-free grammar  $G$  is **ambiguous**

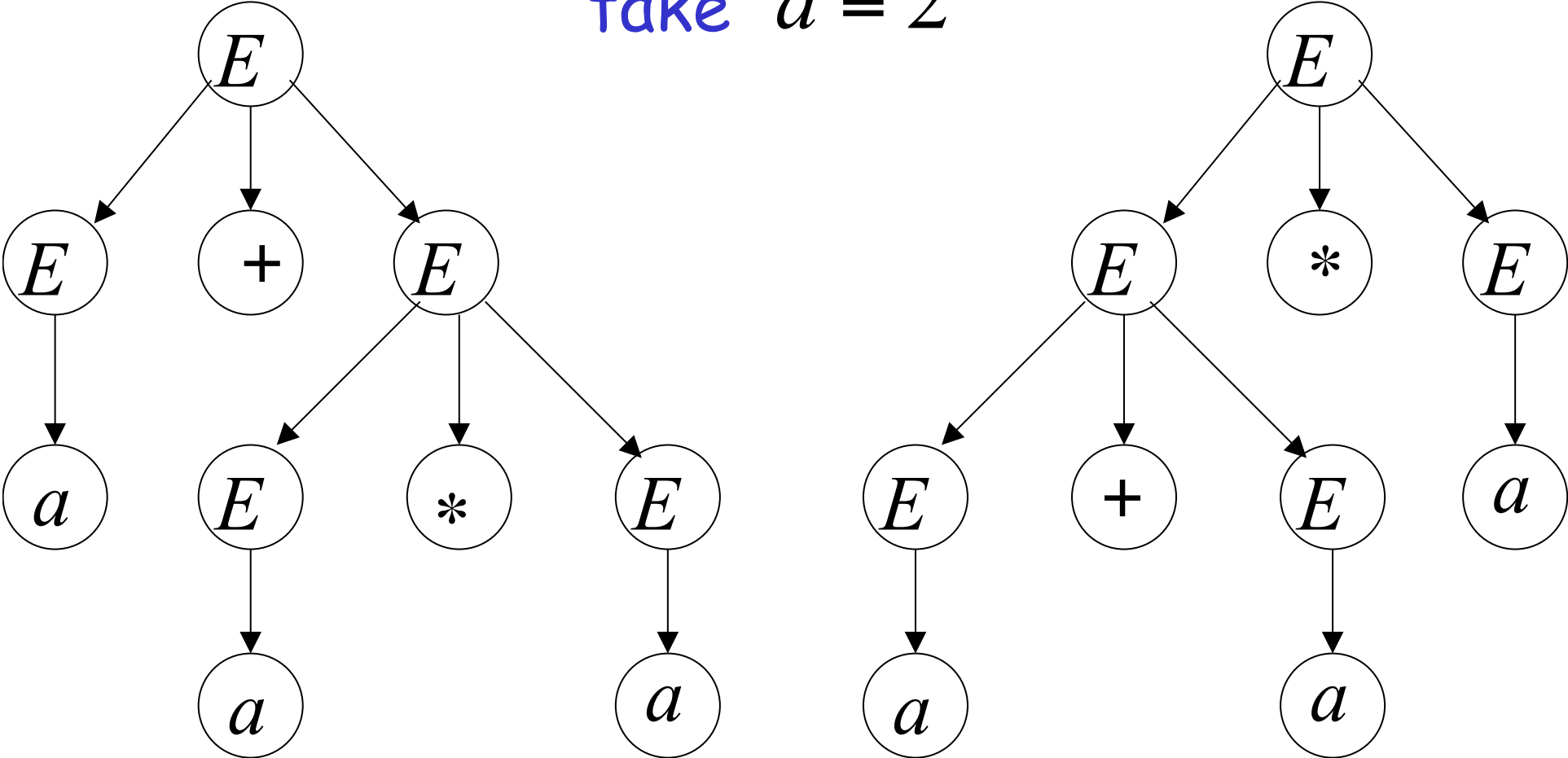
if some string  $w \in L(G)$  has:

two or more leftmost derivations  
(or rightmost)

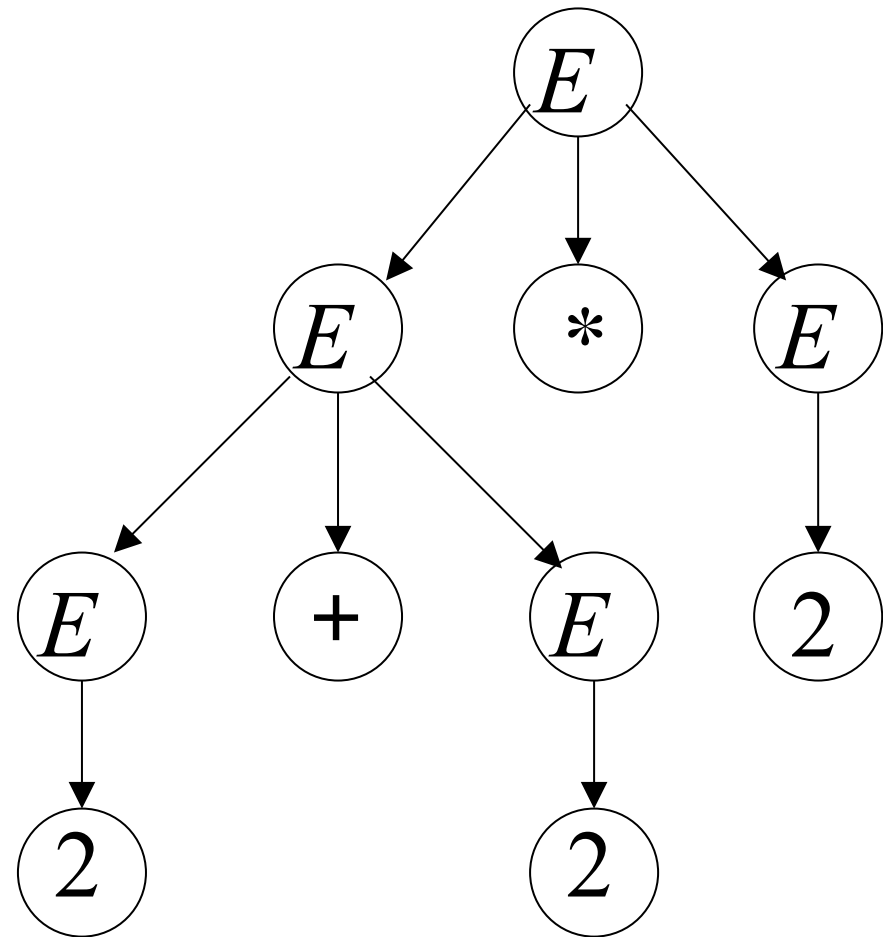
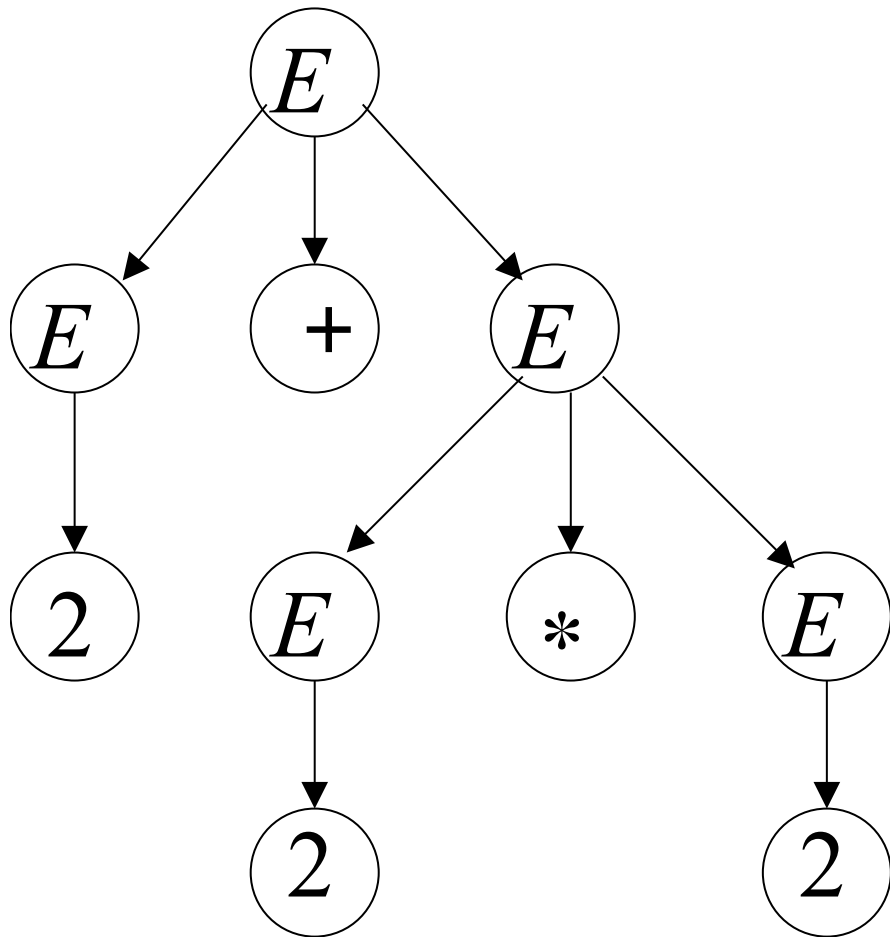
# Why do we care about ambiguity?

$$a + a * a$$

take  $a = 2$

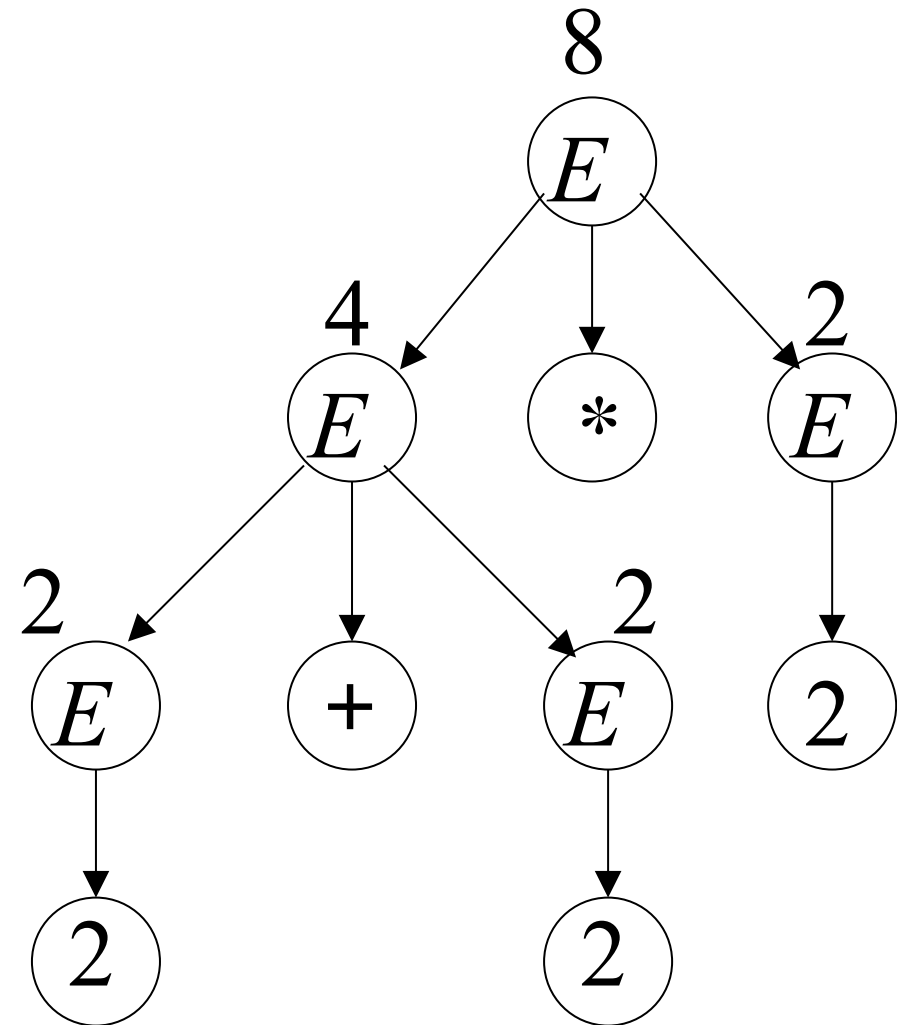
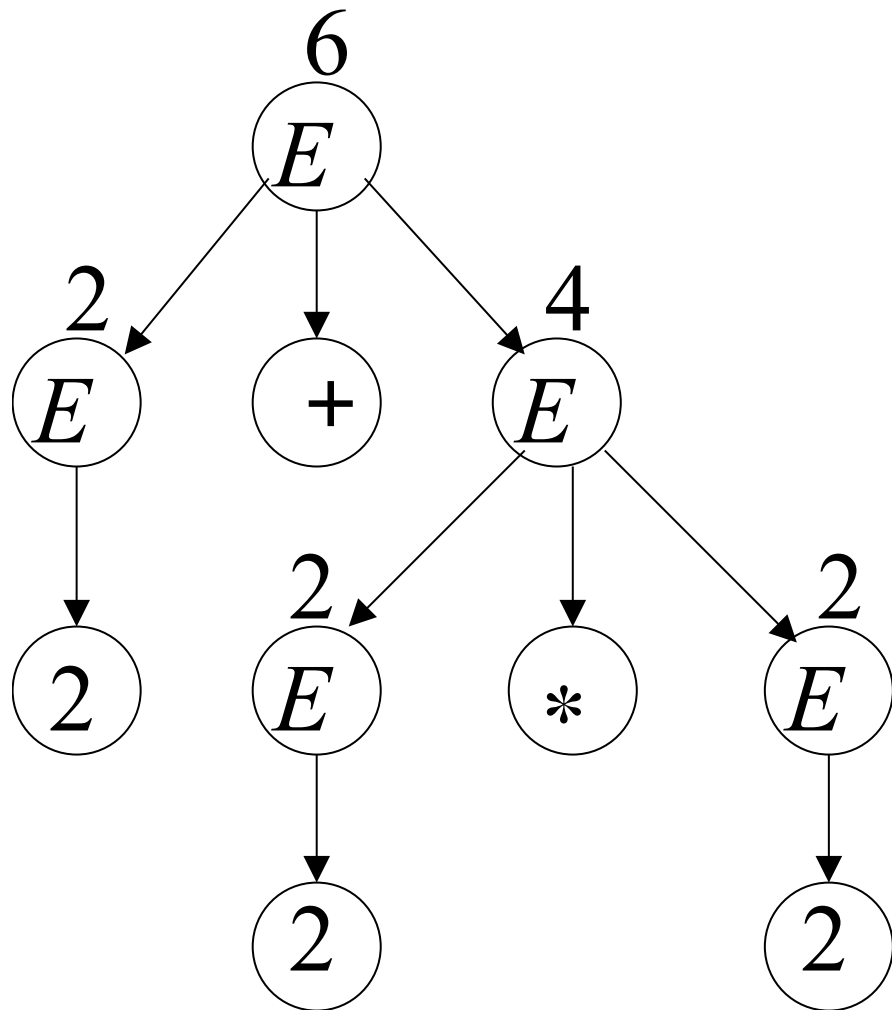


$$2 + 2 * 2$$

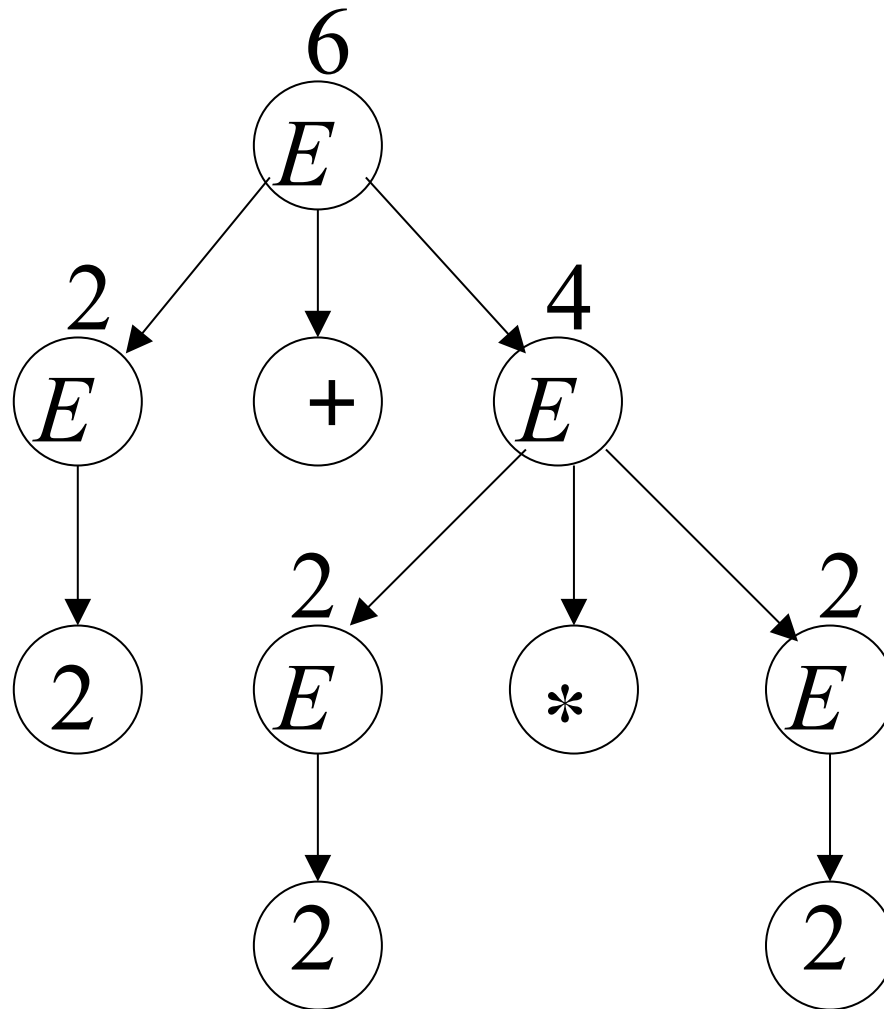


$$2 + 2 * 2 = 6$$

$$2 + 2 * 2 = 8$$



Correct result:  $2 + 2 * 2 = 6$



- Ambiguity is **bad** for programming languages
- We want to remove ambiguity



We fix the **ambiguous** grammar:

$$E \rightarrow E + E \mid E * E \mid (E) \mid a$$

New **non-ambiguous** grammar:  $E \rightarrow E + T$

$$E \rightarrow T$$

$$T \rightarrow T * F$$

$$T \rightarrow F$$

$$F \rightarrow (E)$$

$$F \rightarrow a$$

$$E \Rightarrow E + T \Rightarrow T + T \Rightarrow F + T \Rightarrow a + T \Rightarrow a + T * F$$

$$\Rightarrow a + F * F \Rightarrow a + a * F \Rightarrow a + a * a$$

$$E \rightarrow E + T$$

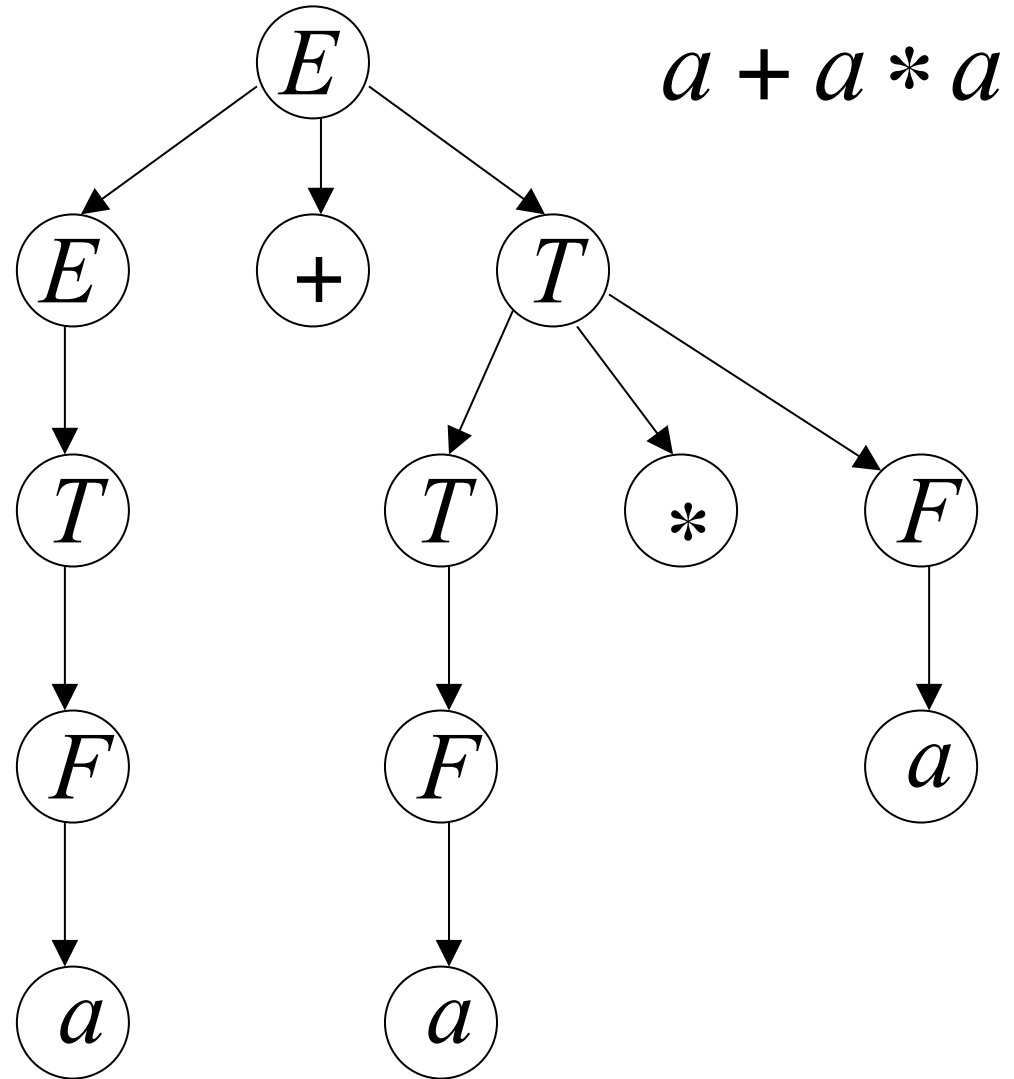
$$E \rightarrow T$$

$$T \rightarrow T * F$$

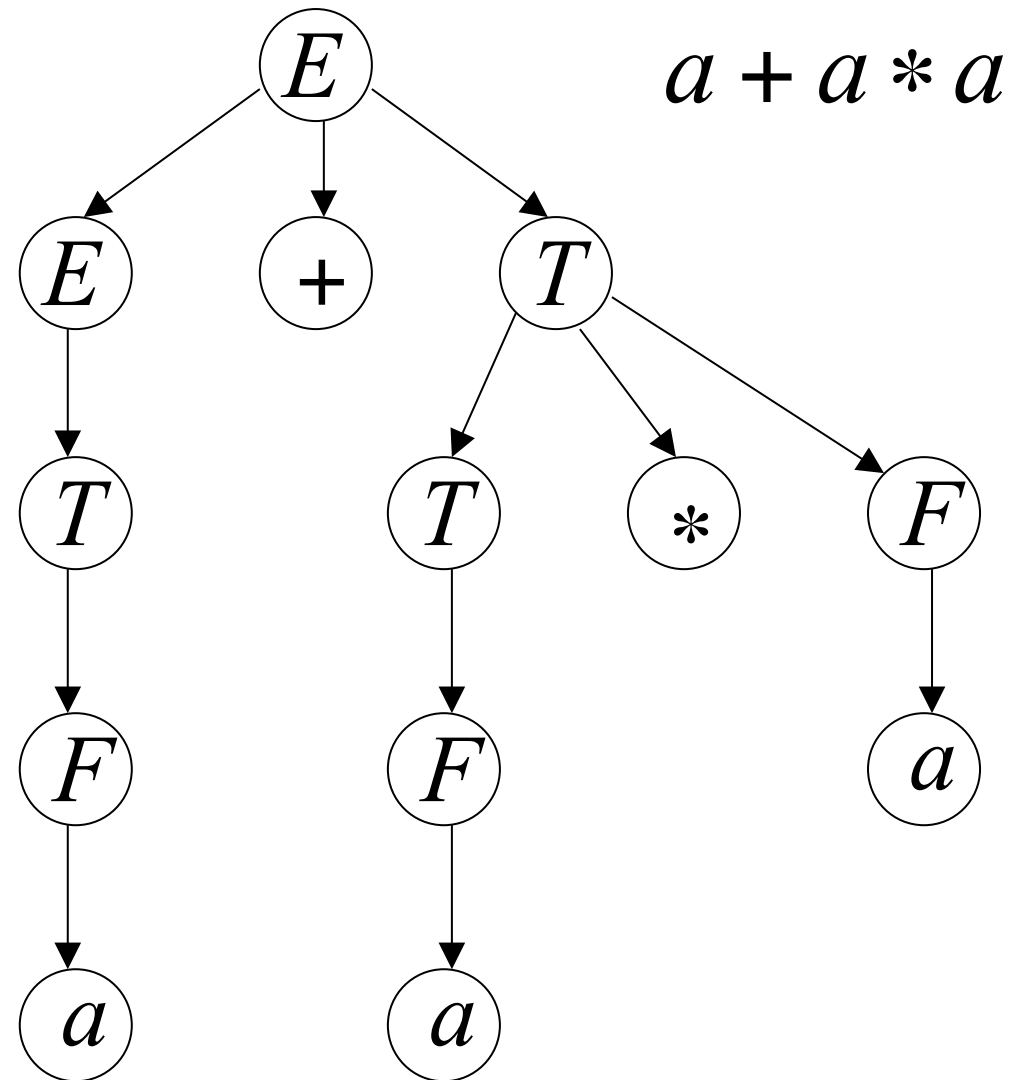
$$T \rightarrow F$$

$$F \rightarrow (E)$$

$$F \rightarrow a$$



# Unique derivation tree



The grammar  $G$ :  $E \rightarrow E + T$

$E \rightarrow T$

$T \rightarrow T * F$

$T \rightarrow F$

$F \rightarrow (E)$

$F \rightarrow a$

is non-ambiguous:

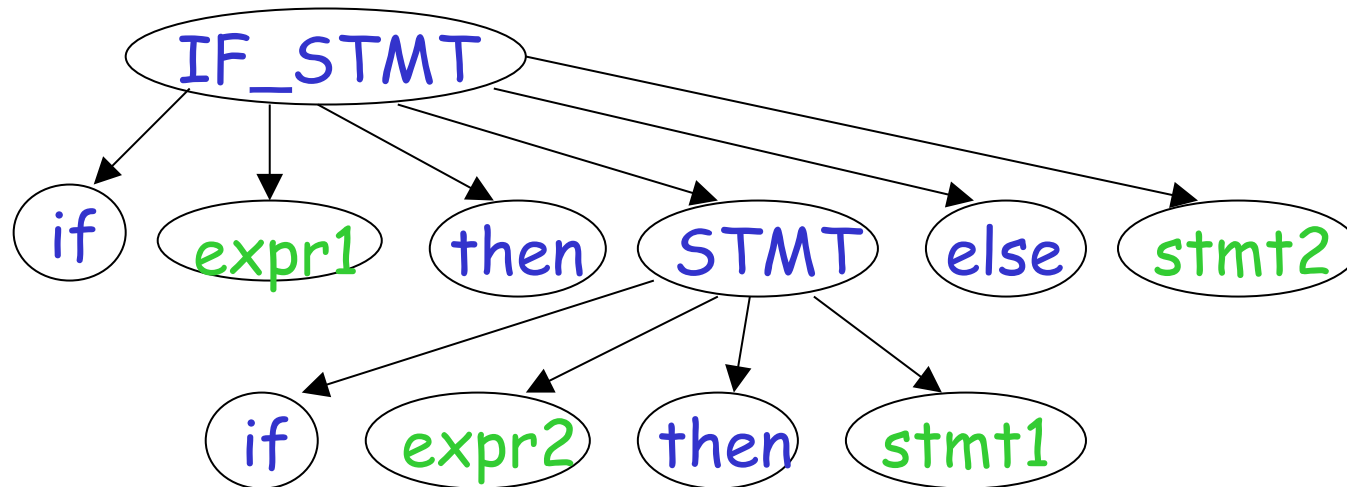
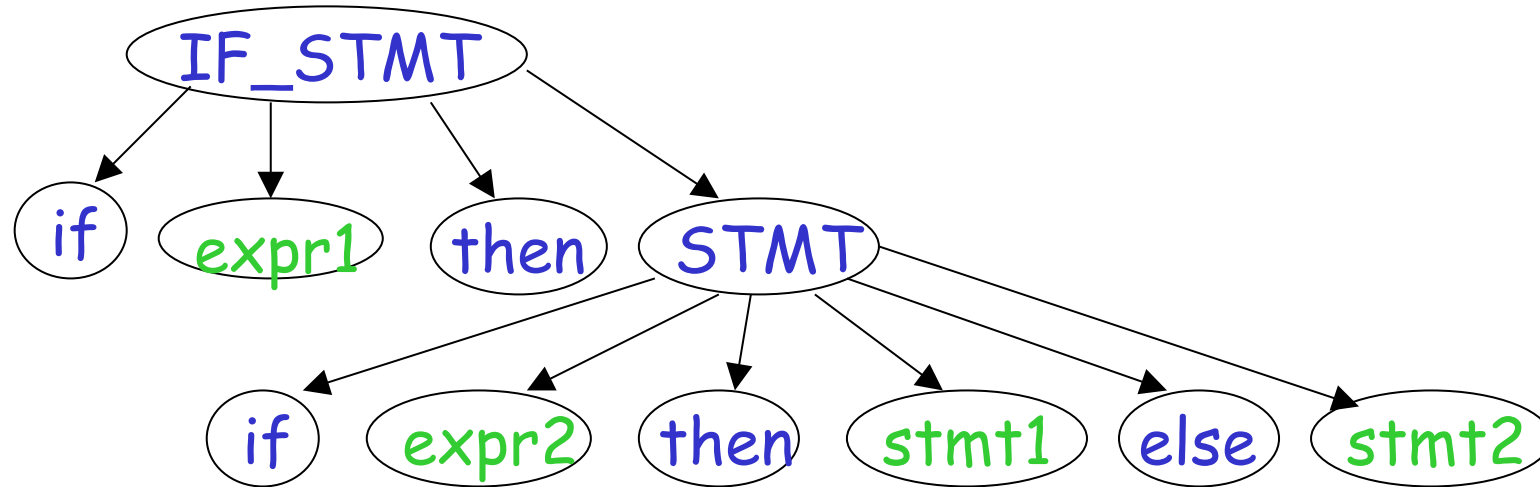
Every string  $w \in L(G)$  has  
a unique derivation tree

# Another Ambiguous Grammar

IF\_STMT  $\rightarrow$  if EXPR then STMT  
          | if EXPR then STMT else STMT

Ambiguity?

If *expr1* then if *expr2* then *stmt1* else *stmt2*



# Inherent Ambiguity

Some context free languages  
have only ambiguous grammars

Example:  $L = \{a^n b^n c^m\} \cup \{a^n b^m c^m\}$


$$S \rightarrow S_1 \mid S_2$$


$$S_1 \rightarrow S_1 c \mid A$$

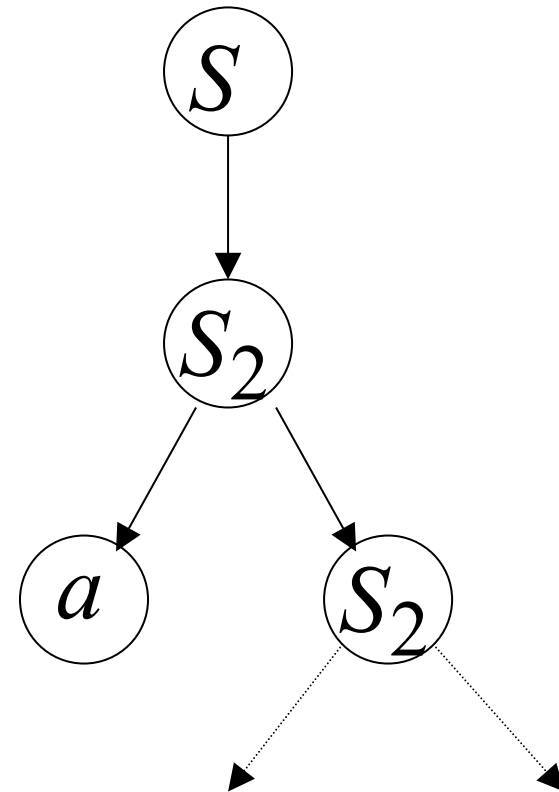
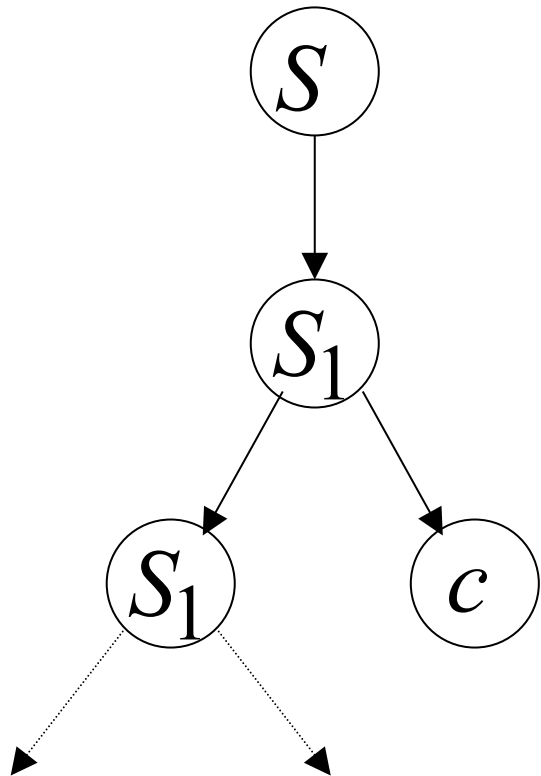
$$A \rightarrow aAb \mid \lambda$$


$$S_2 \rightarrow aS_2 \mid B$$

$$B \rightarrow bBc \mid \lambda$$

The string  $a^n b^n c^n$

has two derivation trees





Ambiguity in natural language?

# Take-away

Definition context-free grammar

Definition context-free language

Derivation, sentential form, sentence

Derivation trees

Ambiguity

Context-free grammars for natural language