

# Introduction to Information Retrieval

<http://informationretrieval.org>

## IIR 7: Scores in a Complete Search System

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# Overview

- 1 Recap
- 2 Why rank?
- 3 More on cosine
- 4 The complete search system
- 5 Implementation of ranking

# Outline

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# Term frequency weight

- The log frequency weight of term  $t$  in  $d$  is defined as follows

$$w_{t,d} = \begin{cases} 1 + \log_{10} \text{tf}_{t,d} & \text{if } \text{tf}_{t,d} > 0 \\ 0 & \text{otherwise} \end{cases}$$

# idf weight

- The document frequency  $df_t$  is defined as the number of documents that  $t$  occurs in.
- We define the **idf weight** of term  $t$  as follows:

$$\text{idf}_t = \log_{10} \frac{N}{df_t}$$

- idf is a measure of the **informativeness** of the term.

# tf-idf weight

- The tf-idf weight of a term is the **product of its tf weight and its idf weight**.



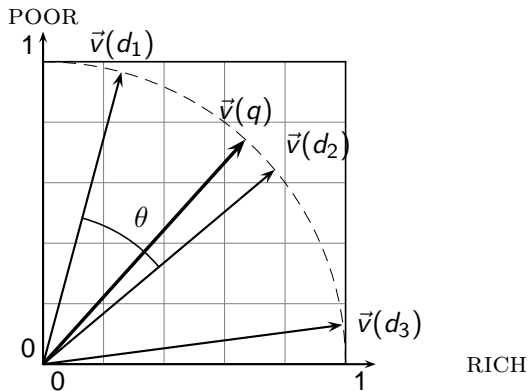
$$w_{t,d} = (1 + \log \text{tf}_{t,d}) \cdot \log \frac{N}{\text{df}_t}$$

# Cosine similarity between query and document

$$\cos(\vec{q}, \vec{d}) = \text{SIM}(\vec{q}, \vec{d}) = \frac{\vec{q}}{|\vec{q}|} \cdot \frac{\vec{d}}{|\vec{d}|} = \sum_{i=1}^{|V|} \frac{q_i}{\sqrt{\sum_{i=1}^{|V|} q_i^2}} \cdot \frac{d_i}{\sqrt{\sum_{i=1}^{|V|} d_i^2}}$$

- $q_i$  is the tf-idf weight of term  $i$  in the query.
- $d_i$  is the tf-idf weight of term  $i$  in the document.
- $|\vec{q}|$  and  $|\vec{d}|$  are the lengths of  $\vec{q}$  and  $\vec{d}$ .
- $\vec{q}/|\vec{q}|$  and  $\vec{d}/|\vec{d}|$  are length-1 vectors (= normalized).

# Cosine similarity illustrated





# tf-idf example: Inc.ltn

Query: "best car insurance". Document: "car insurance auto insurance".

word	query					document				product
	tf-raw	tf-wght	df	idf	tf-idf weight	tf-raw	tf-wght	tf-wght	n'lized	
auto	0	0	5000	2.3	0	1	1	1	0.52	0
best	1	1	50000	1.3	1.3	0	0	0	0	0
car	1	1	10000	2.0	2.0	1	1	1	0.52	1.04
insurance	1	1	1000	3.0	3.0	2	1.3	1.3	0.68	2.04

Key to columns: tf-raw: raw (unweighted) term frequency, tf-wght: logarithmically weighted term frequency, df: document frequency, idf: inverse document frequency, weight: the final weight of the term in the query or document, n'lized: document weights after cosine normalization, product: the product of final query weight and final document weight

$$\sqrt{1^2 + 0^2 + 1^2 + 1.3^2} \approx 1.92$$

$$1/1.92 \approx 0.52$$

$$1.3/1.92 \approx 0.68$$

Final similarity score between query and document:  $\sum_i w_{qi} \cdot w_{di} = 0 + 0 + 1.04 + 2.04 = 3.08$

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- Next: More data on “users only look at a few results”

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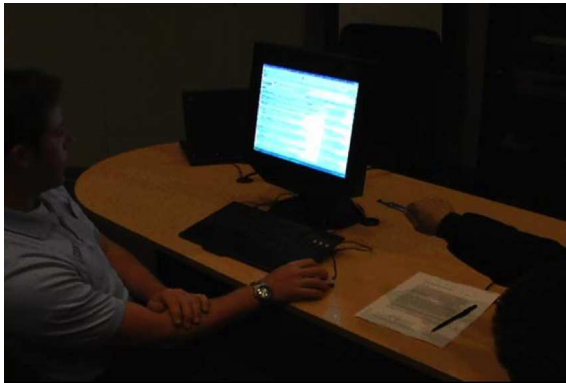
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  - Interview them
  - Eye-track them
  - Time them
  - Record and count their clicks



**So.. Did you notice the FTD official site?**

To be honest, I didn't even look at that.

At first I saw "from \$20" and \$20 is what I was looking for.

To be honest, 1800-flowers is what I'm familiar with and why I went there next even though I kind of assumed they wouldn't have \$20 flowers

**And you knew they were expensive?**

I knew they were expensive but I thought "hey, maybe they've got some flowers for under \$20 here..."

**But you didn't notice the FTD?**

No I didn't, actually... that's really funny.

Interview video

# Rapidly scanning the results

Note scan pattern:

Page 3:

Result 1
Result 2
Result 3
Result 4
Result 3
Result 2
Result 4
Result 5
Result 6 <click>

**Q: Why do this?**

**A:** What's learned later influences judgment of earlier content.

The screenshot shows a Google search for "children's unicycle". The results are numbered 1 through 6, with red arrows indicating a non-linear scanning pattern. The arrows start at result 1, go to result 2, then result 3, then result 4, then result 5, and finally result 6. There are also arrows pointing from result 1 to result 3, from result 2 to result 4, and from result 4 to result 5. The search results are as follows:

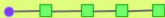
- 1** [Unicycle UK.com - F.A.Q. - What size?](#)  
12" wheel **unicycle**: this is a small **children's unicycle** size. It's good for **children** who are too small to ride a 16" **unicycle**, but it needs smooth ground ...  
[www.unicycle.uk.com/FAQ.asp?Category=53](#) - 23k - [Cached](#) - [Similar pages](#)
- 2** [Selecting a unicycle Unicycle.com NZ : buy a unicycle or learn ...](#)  
16" wheel **unicycle**: this is a **children's unicycle**, the small wheel makes it only suitable for smooth areas. Best used indoors or on smooth ground; ...  
[www.unicycle.co.nz/View.php?action=Page&Name=Selectingunicycle](#) - 22k - [Cached](#) - [Similar pages](#)
- 3** [100 Miles for Kids - The Goal](#)  
"The Afghan Mobile Mini Circus" - **Children** is an established ... attempt to break the GUINNESS WORLD RECORD for the ONE HOUR **UNICYCLE** DISTANCE RECORD. ...  
[www.unicycle4kids.org/](#) - 9k - [Cached](#) - [Similar pages](#)
- 4** [Unicycles page at Juggling World](#)  
This is a **children's unicycle**, the small wheel makes it only suitable for very smooth areas. Best used indoors or on smooth ground, not so good outdoors ...  
[www.jugglingworld.biz/shop/products\\_unicycles.html](#) - 100k - [Cached](#) - [Similar pages](#)
- 5** [Buy a Unicycle Unicycle.com AU : buy a unicycle or learn unicycling](#)  
Check out a **Unicycle Learners Pack** for an easy and economical way to take your first steps into the One Wheeled World ... Suitable as a **Children's Unicycle**. ...  
[www.unicycle.au.com/View.php?action=Page&Name=Unicycles](#) - 10k - [Cached](#) - [Similar pages](#)
- 6** [Article - News - A unicycle ride for children](#)  
Adam Brody, 21, of San Juan Capistrano, led a charity event Saturday that benefits the Orangewood Children's Foundation. The **Unicycle** Club of Southern ...  
[www.ocregister.com/ocregister/news/homepage/article\\_1293785.php](#) - 31k - [Cached](#) - [Similar pages](#)

# Kinds of behaviors we see in the data

Short / Nav



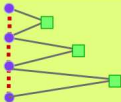
Topic exploration



Topic switch



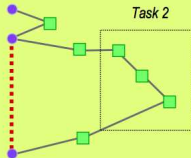
Methodical results exploration



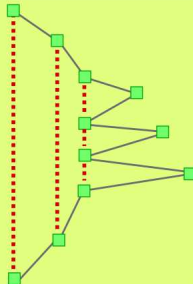
Query reform



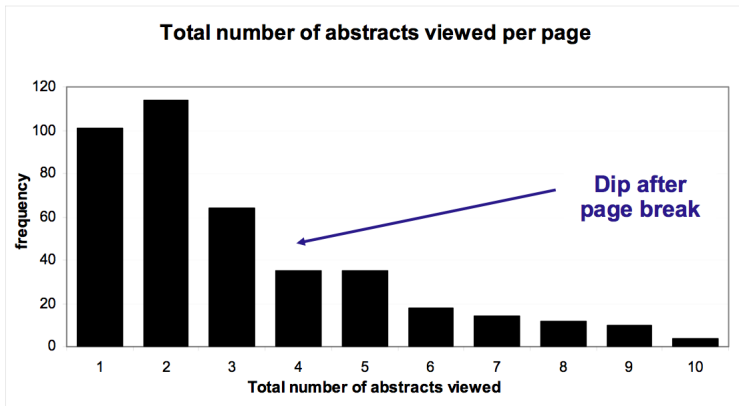
Multitasking



Stacking behavior

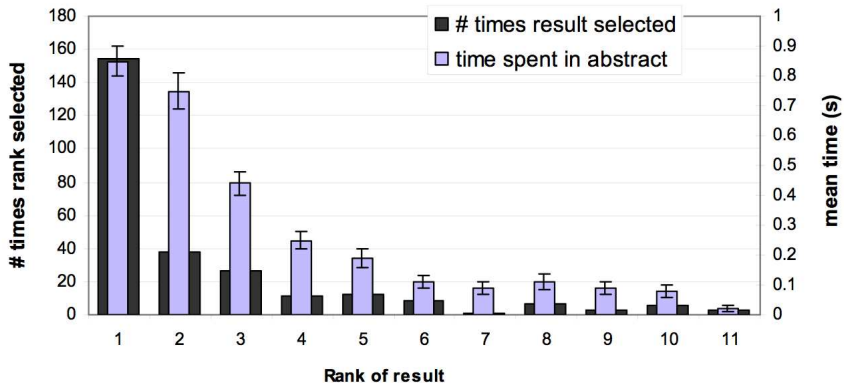


# How many links do users view?



**Mean: 3.07    Median/Mode: 2.00**

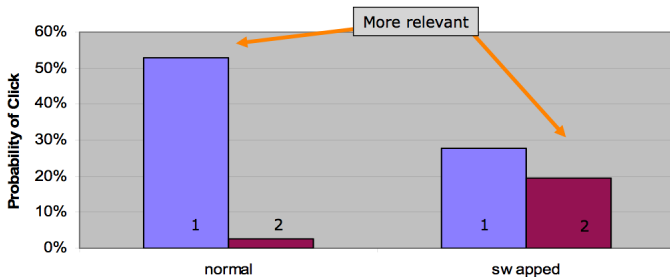
# Looking vs. Clicking



- Users view results one and two more often / thoroughly
- Users click most frequently on result one

## Presentation bias – reversed results

- Order of presentation influences where users look **AND** where they click



# Importance of ranking: Summary



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# Exercise

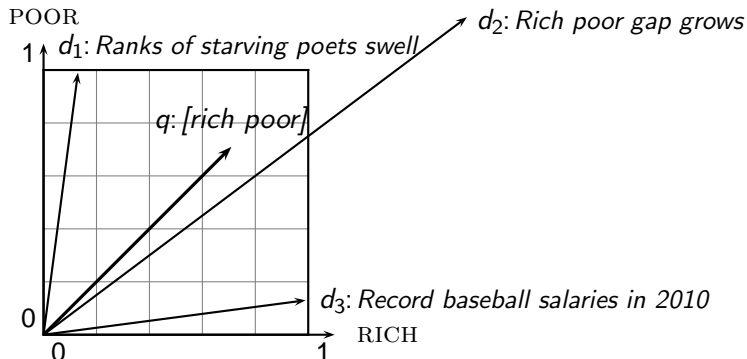
- Ranking is also one of the high barriers to entry for competitors to established players in the search engine market.
- Why?



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# Why distance is a bad idea



The Euclidean distance of  $\vec{q}$  and  $\vec{d}_2$  is large although the distribution of terms in the query  $q$  and the distribution of terms in the document  $d_2$  are very similar.

That's why we do length normalization or, equivalently, use cosine to compute query-document matching scores.

## Exercise: A problem for cosine normalization

- Query  $q$ : “anti-doping rules Beijing 2008 olympics”
- Compare three documents
  - $d_1$ : a short document on anti-doping rules at 2008 Olympics
  - $d_2$ : a long document that consists of a copy of  $d_1$  and 5 other news stories, all on topics different from Olympics/anti-doping
  - $d_3$ : a short document on anti-doping rules at the 2004 Athens Olympics
- What ranking do we expect in the vector space model?
- What can we do about this?

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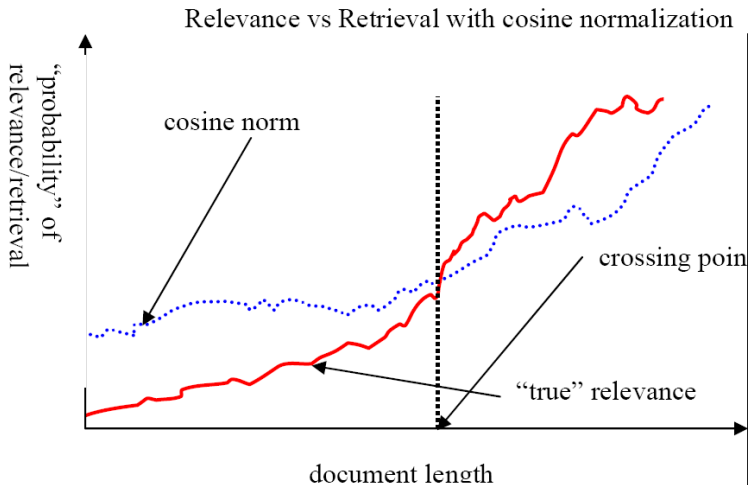
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- Effect: Similarities of short documents with query **decrease**; similarities of long documents with query **increase**.
- This removes the unfair advantage that short documents have.

# Predicted and true probability of relevance



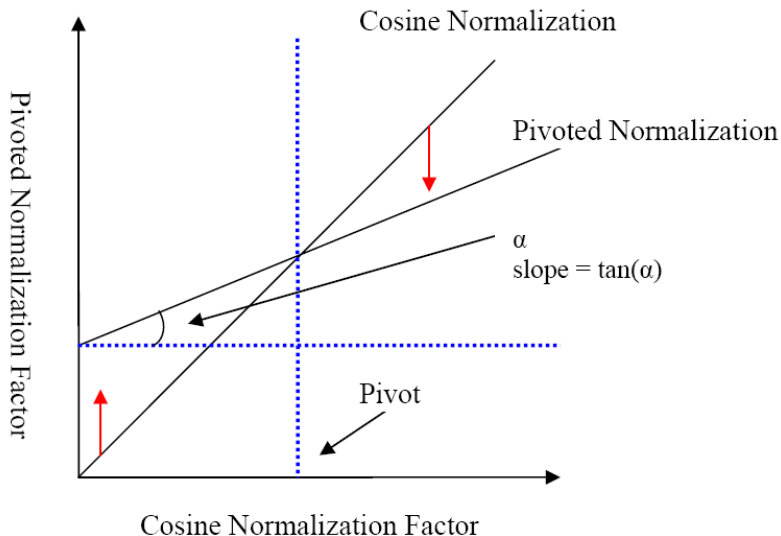
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source:  
Lillian Lee

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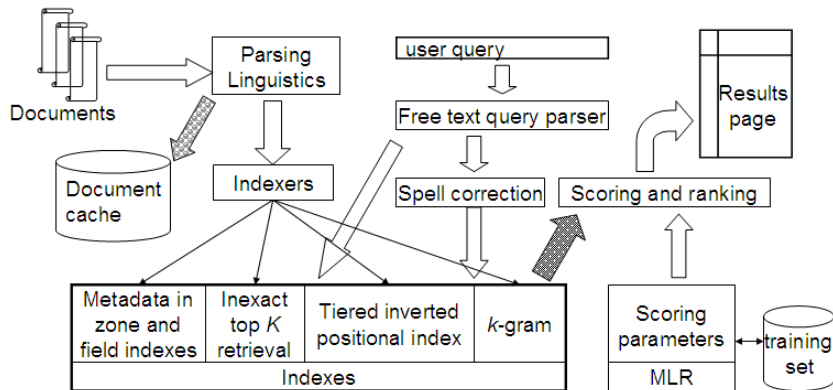
Cosine	Pivoted Cosine Normalization				
	Slope				
	0.60	0.65	0.70	<b>0.75</b>	0.80
6,526	6,342	6,458	6,574	<b>6,629</b>	6,671
0.2840	0.3024	0.3097	0.3144	<b>0.3171</b>	0.3162
Improvement	+ 6.5%	+ 9.0%	+10.7%	<b>+11.7%</b>	+11.3%

(relevant documents retrieved and (change in) average precision)

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  - Tier 2: Index of the rest of documents

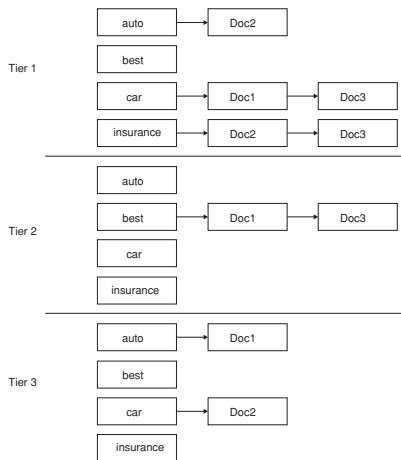


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  - Pages containing the search words in the title are better hits than pages containing the search words in the body of the text.

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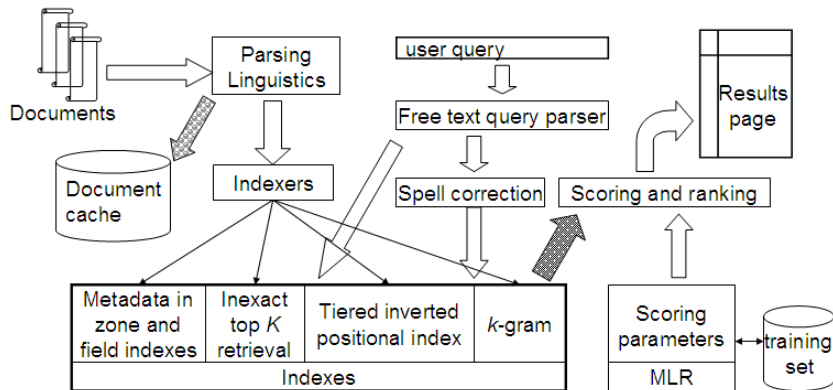
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- (along with PageRank, use of anchor text and proximity constraints)

# Complete search system



# Components we have introduced thus far

- Document preprocessing (linguistic and otherwise)
- Positional indexes
- Tiered indexes
- Spelling correction
- k-gram indexes for wildcard queries and spelling correction
- Query processing
- Document scoring



# Components we haven't covered yet

- Document cache: we need this for generating snippets (= dynamic summaries)
- Zone indexes: They separate the indexes for different zones: the body of the document, all highlighted text in the document, anchor text, text in metadata fields etc
- Machine-learned ranking functions
- Proximity ranking (e.g., rank documents in which the query terms occur in the same local window higher than documents in which the query terms occur far from each other)
- Query parser

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- How do we combine wild cards with vector space retrieval?
- Again, no easy answer

# Exercise

- Design criteria for tiered system
  - Each tier should be an order of magnitude smaller than the next tier.
  - The top 100 hits for most queries should be in tier 1, the top 100 hits for most of the remaining queries in tier 2 etc.
  - We need a simple test for “can I stop at this tier or do I have to go to the next one?”
    - There is no advantage to tiering if we have to hit most tiers for most queries anyway.
- Consider a two-tier system where the first tier indexes titles and the second tier everything.
- Question: Can you think of a better way of setting up a multitier system? Which “zones” of a document should be indexed in the different tiers (title, body of document, others?)? What criterion do you want to use for including a document in tier 1?

# Outline

- 1 Recap
- 2 Why rank?
- 3 More on cosine
- 4 The complete search system
- 5 Implementation of ranking

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We also need positions. Not shown here.

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- ... because real numbers are difficult to compress.
- Overall, additional space requirements are small: a byte per posting or less

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- Alternative: min heap

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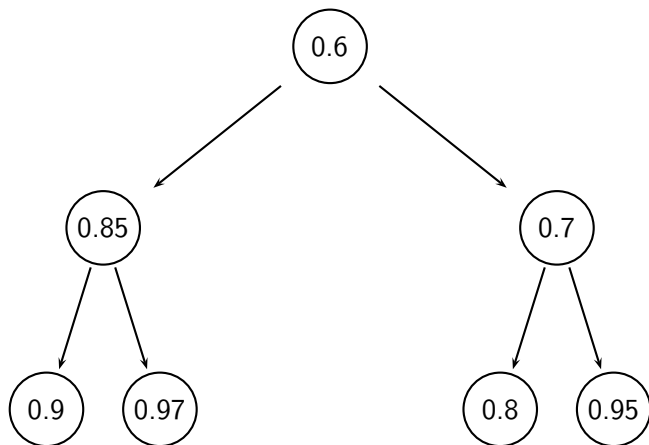
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- Takes  $O(N \log k)$  operations to construct (where  $N$  is the number of documents) ...
- ... then read off  $k$  winners in  $O(k \log k)$  steps

# Binary min heap



# Selecting top $k$ scoring documents in $O(N \log k)$

- Goal: Keep the top  $k$  documents seen so far
- Use a binary min heap
- To process a new document  $d'$  with score  $s'$ :
  - Get current minimum  $h_m$  of heap ( $O(1)$ )
  - If  $s' \leq h_m$  skip to next document
  - If  $s' > h_m$  heap-delete-root ( $O(\log k)$ )
  - Heap-add  $d'/s'$  ( $O(\log k)$ )

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- What we're doing in effect: solving the  $k$ -nearest neighbor (kNN) problem for the query vector (= query point).
- There are no general solutions to this problem that are sublinear.



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  - In practice, close to constant time.
  - For this, we'll need the concepts of document-at-a-time processing and term-at-a-time processing.

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- This scheme supports early termination: We do not have to process postings lists in their entirety to find top  $k$ .

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- Questions?

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- Computing cosines in this scheme is **document-at-a-time**.
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- Alternative: term-at-a-time processing

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- → Early termination while processing postings lists is unlikely to change the top  $k$ .
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  - We no longer can employ document-at-a-time processing.

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- ...and so forth

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COSINESCORE( $q$ )

```
1  float Scores[ $N$ ] = 0
2  float Length[ $N$ ]
3  for each query term  $t$ 
4  do calculate  $w_{t,q}$  and fetch postings list for  $t$ 
5      for each pair( $d, tf_{t,d}$ ) in postings list
6      do Scores[ $d$ ] +  $= w_{t,d} \times w_{t,q}$ 
7  Read the array Length
8  for each  $d$ 
9  do Scores[ $d$ ] = Scores[ $d$ ] / Length[ $d$ ]
10 return Top  $k$  components of Scores[]
```

The elements of the array “Scores” are called [accumulators](#).

# Accumulators

- For the web (20 billion documents), an array of accumulators  $A$  in memory is infeasible.
- Thus: Only create accumulators for docs occurring in postings lists
- This is equivalent to: Do not create accumulators for docs with zero scores (i.e., docs that do not contain any of the query terms)

# Accumulators: Example

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- For query: [Brutus Caesar]:
- Only need accumulators for 1, 5, 7, 13, 17, 83, 87
- Don't need accumulators for 3, 8 etc.

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- We can enforce conjunctive search (a la Google): only consider documents (and create accumulators) if all terms occur.
- Example: just one accumulator for [Brutus Caesar] in the example above . . .
- . . . because only  $d_1$  contains both words.

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- In most applications, the vast majority of documents have **similarity score 0** for a given query → lots of potential for speeding things up.
- However, there is **no fast nearest neighbor algorithm** that is guaranteed to be correct even in this scenario.
- In practice: **use heuristics** to prune search space – usually works very well.

# Take-away today

- The importance of ranking: User studies at Google
- Length normalization: Pivot normalization
- The complete search system
- Implementation of ranking

# Resources

- Chapters 6 and 7 of IIR
- Resources at <http://cis1mu.org>
  - How Google tweaks its ranking function
  - Interview with Google search guru Udi Manber
  - Amit Singhal on Google ranking
  - SEO perspective: ranking factors
  - Yahoo Search BOSS: Opens up the search engine to developers. For example, you can rerank search results.
  - Compare Google and Yahoo ranking for a query
  - How Google uses eye tracking for improving search