

# Introduction to Information Retrieval

<http://informationretrieval.org>

## IIR 8: Evaluation & Result Summaries

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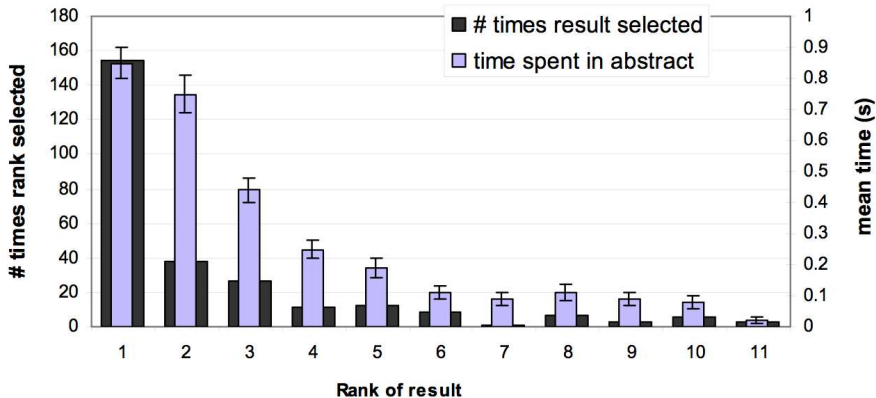
# Overview

- 1 Recap
- 2 Introduction
- 3 Unranked evaluation
- 4 Ranked evaluation
- 5 Benchmarks
- 6 Result summaries

# Outline

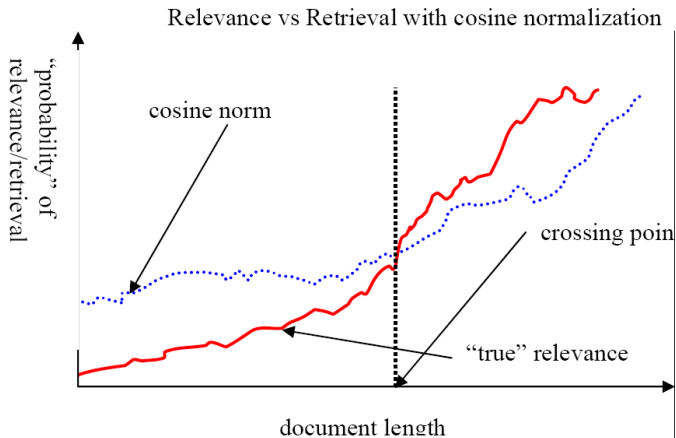
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# Looking vs. Clicking



- Users view results one and two more often / thoroughly
- Users click most frequently on result one

# Pivot normalization



source:  
Lillian Lee

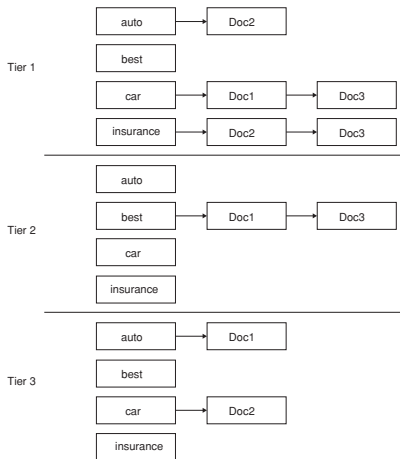
# Selecting $k$ top scoring documents in $O(N \log k)$

- Goal: Keep the  $k$  top documents seen so far
- Use a binary min heap
- To process a new document  $d'$  with score  $s'$ :
  - Get current minimum  $h_m$  of heap (in  $O(1)$ )
  - If  $s' \leq h_m$  skip to next document
  - If  $s' > h_m$  heap-delete-root (in  $O(\log k)$ )
  - Heap-add  $d'/s'$  (in  $O(1)$ )
  - Reheapify (in  $O(\log k)$ )

# Heuristics for finding the top $k$ even faster

- Document-at-a-time processing
  - We complete computation of the query-document similarity score of document  $d_i$  before starting to compute the query-document similarity score of  $d_{i+1}$ .
  - Requires a consistent ordering of documents in the postings lists
- Term-at-a-time processing
  - We complete processing the postings list of query term  $t_i$  before starting to process the postings list of  $t_{i+1}$ .
  - Requires an accumulator for each document “still in the running”
- The most effective heuristics switch back and forth between term-at-a-time and document-at-a-time processing.

# Tiered index





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- How fast does it search
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- What is the cost per query?
  - in dollars

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- **How can we quantify user happiness?**

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- User happiness can only be measured by relevance to an information need, not by relevance to queries.
- Our terminology is sloppy in these slides and in IIR: we talk about query-document relevance judgments even though we mean information-need-document relevance judgments.

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	Relevant	Nonrelevant
Retrieved	true positives (TP)	false positives (FP)
Not retrieved	false negatives (FN)	true negatives (TN)

$$P = TP / (TP + FP)$$

$$R = TP / (TP + FN)$$

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- Suppose the document with the largest score is relevant. How can we maximize precision?

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- What value range of  $\beta$  weights recall higher than precision?

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- $F_1 = 2 \frac{1}{\frac{1}{3} + \frac{1}{4}} = 2/7$

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- Why not something simple like accuracy?
- Accuracy is the fraction of decisions (relevant/nonrelevant) that are correct.
- In terms of the contingency table above,  
$$\text{accuracy} = (TP + TN) / (TP + FP + FN + TN).$$

# Exercise

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- Compute precision, recall and  $F_1$  for this result set:

	relevant	not relevant
retrieved	18	2
not retrieved	82	1,000,000,000

- The snoogle search engine below always returns 0 results (“0 matching results found”), regardless of the query. Why does snoogle demonstrate that accuracy is not a useful measure in IR?

The logo for snoogle.com, featuring the word "snoogle" in a stylized, multi-colored font (blue, orange, and red) and ".com" in a smaller, blue font.

**Search for:**

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- Searchers on the web (and in IR in general) **want to find something** and have a certain tolerance for junk.
- It's better to return some bad hits as long as you return something.
- → We use precision, recall, and  $F$  for evaluation, not accuracy.

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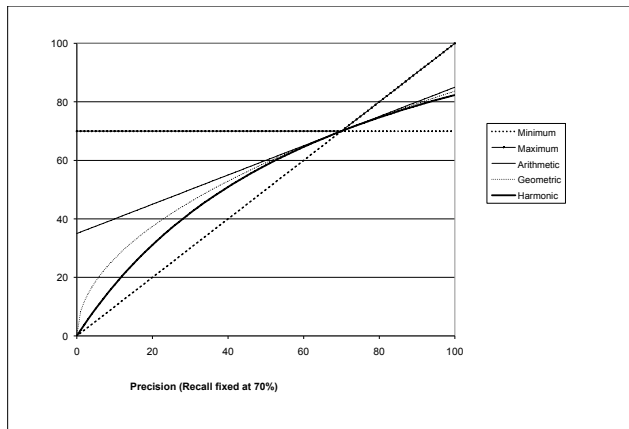
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- But minimum is not smooth and hard to weight.
- $F$  (harmonic mean) is a kind of smooth minimum.

# $F_1$ and other averages

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- We can view the harmonic mean as a kind of soft minimum

# Difficulties in using precision, recall and $F$

- We need relevance judgments for information-need-document pairs – but they are expensive to produce.
- For alternatives to using precision/recall and having to produce relevance judgments – see end of this lecture.

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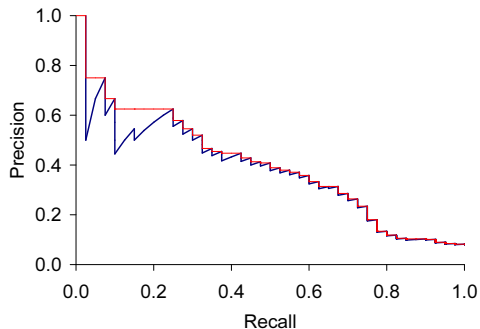
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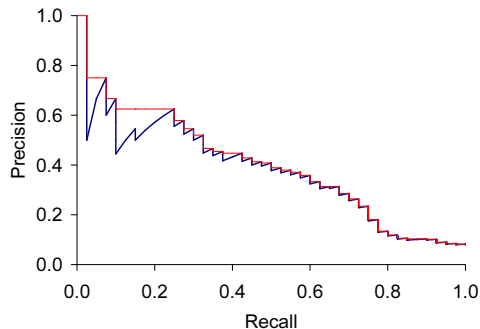
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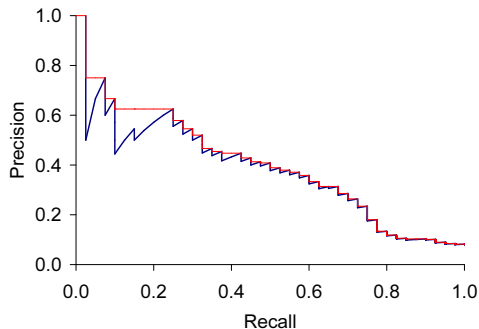
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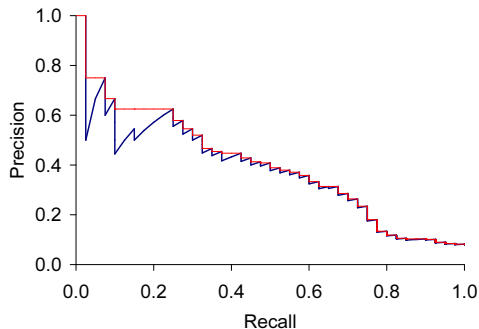


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# 11-point interpolated average precision

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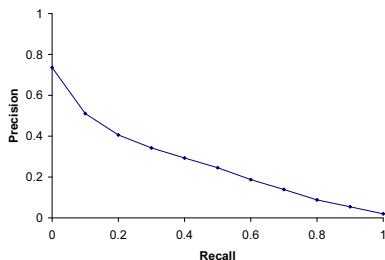
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How can precision  
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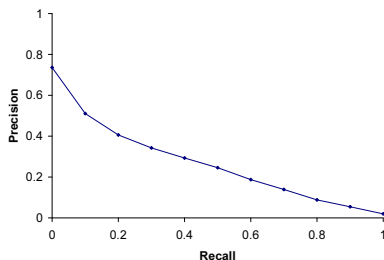
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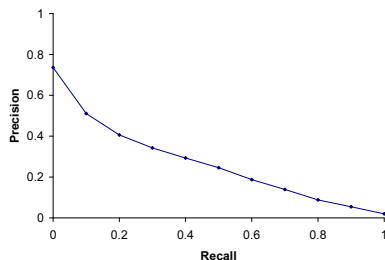
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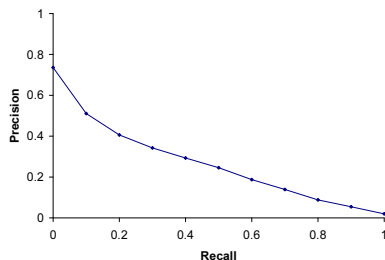


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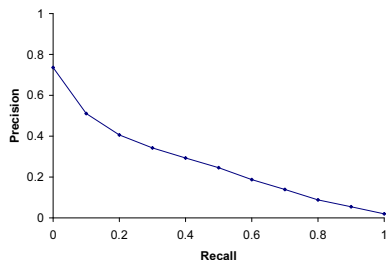
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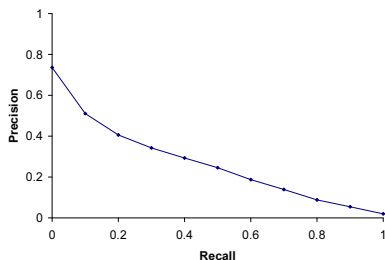
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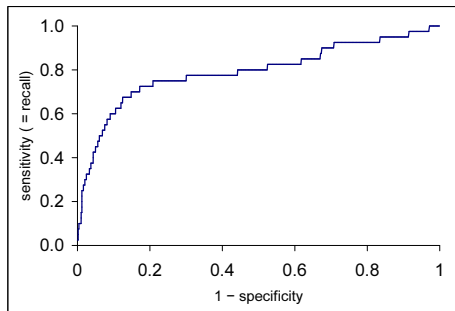
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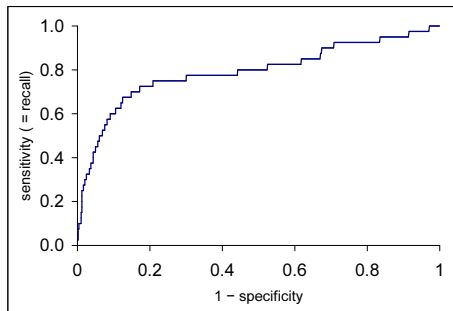
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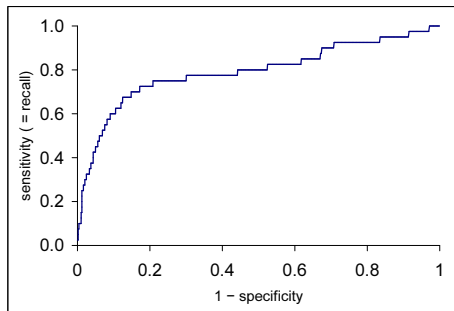
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- Precision-recall graph “blows up” this area.



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- Rather, NIST assessors' relevance judgments are available only for the documents that were among the top  $k$  returned for some system which was entered in the TREC evaluation for which the information need was developed.

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- $\kappa = ?$  for (i) chance agreement (ii) total agreement



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- With smaller values: need to redesign relevance assessment methodology used etc.

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		Judge 2 Relevance		
		Yes	No	Total
Judge 1 Relevance	Yes	300	20	320
	No	10	70	80
	Total	310	90	400

Observed proportion of the times the judges agreed

$$P(A) = (300 + 70)/400 = 370/400 = 0.925$$

Pooled marginals

$$P(\text{nonrelevant}) = (80 + 90)/(400 + 400) = 170/800 = 0.2125$$

$$P(\text{relevant}) = (320 + 310)/(400 + 400) = 630/800 = 0.7878$$

Probability that the two judges agreed by chance  $P(E) =$

$$P(\text{nonrelevant})^2 + P(\text{relevant})^2 = 0.2125^2 + 0.7878^2 = 0.665$$

$$\text{Kappa statistic } \kappa = (P(A) - P(E))/(1 - P(E)) =$$

$$(0.925 - 0.665)/(1 - 0.665) = 0.776 \text{ (still in acceptable range)}$$

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information need	number of docs judged	disagreements
51	211	6
62	400	157
67	400	68
95	400	110
127	400	106

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- Probably the evaluation methodology that large search engines trust most

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  - Why is marginal relevance a more realistic measure of user happiness?

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- We've defined relevance for an isolated query-document pair.
- Alternative definition: marginal relevance
- The **marginal relevance** of the document  $d_k$  at position  $k$  in the result list is the additional information it contributes over and above the information that was contained in documents  $d_1 \dots d_{k-1}$ .
- Exercise
  - Why is marginal relevance a more realistic measure of user happiness?
  - Give an example where a non-marginal measure like precision or recall is a misleading measure of user happiness, but marginal relevance is a good measure.



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- Exercise
  - Why is marginal relevance a more realistic measure of user happiness?
  - Give an example where a non-marginal measure like precision or recall is a misleading measure of user happiness, but marginal relevance is a good measure.
  - In a practical application, what is the difficulty of using marginal measures instead of non-marginal measures?

# Outline

- 1 Recap
- 2 Introduction
- 3 Unranked evaluation
- 4 Ranked evaluation
- 5 Benchmarks
- 6 Result summaries**

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- No need to actually view any document



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- A **static summary** of a document is always the same, regardless of the query that was issued by the user.
- **Dynamic summaries** are **query-dependent**. They attempt to explain why the document was retrieved for the query at hand.



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- Most sophisticated: complex NLP to synthesize/generate a summary
  - For most IR applications: not quite ready for prime time yet

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- Prefer snippets in which query terms occurred jointly in a small window
- The summary that is computed this way gives the entire content of the window – all terms, not just the query terms.

# Google dynamic summaries for [vegetarian diet running]

## [No Meat Athlete | Vegetarian Running and Fitness](#)

[www.nomeatathlete.com/](http://www.nomeatathlete.com/) ▼

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- Good example that snippet selection is non-trivial.
- Criteria:
  - occurrence of keywords, density of keywords, coherence of snippet, number of different snippets in summary, good cutting points etc

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- Note that the cached copy can be outdated
- Don't cache very long documents – just cache a short prefix

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- Dynamic summaries are a big part of user happiness because ...
  - ... we can quickly scan them to find the relevant document we then click on.
  - ... in many cases, we don't have to click at all and save time.

# Take-away today

- Introduction to evaluation: Measures of an IR system
- Evaluation of unranked and ranked retrieval
- Evaluation benchmarks
- Result summaries

# Resources

- Chapter 8 of IIR
- Resources at <http://cislmu.org>
  - The TREC home page – TREC had a huge impact on information retrieval evaluation.
  - Originator of  $F$ -measure: Keith van Rijsbergen
  - More on A/B testing
  - Too much A/B testing at Google?
  - Tombros & Sanderson 1998: one of the first papers on dynamic summaries
  - Google VP of Engineering on search quality evaluation at Google