# Introduction to Information Retrieval http://informationretrieval.org

IIR 21: Link Analysis

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#### Overview

- Recap
- 2 Anchor text
- 3 Citation analysis
- PageRank
- 6 HITS: Hubs & Authorities

#### Outline

- Recap
- 2 Anchor text
- Citation analysis
- PageRank
- **(5)** HITS: Hubs & Authorities

# Applications of clustering in IR

Application	What is clustered?	Benefit	Example
Search result clustering	search results	more effective infor- mation presentation to user	
Scatter-Gather	(subsets of) collection	alternative user inter- face: "search without typing"	
Collection clustering	collection	effective information presentation for ex- ploratory browsing	McKeown et al. 2002, news.google.com
Cluster-based retrieval	collection	higher efficiency: faster search	Salton 1971

## K-means algorithm

```
K-MEANS(\{\vec{x}_1,\ldots,\vec{x}_N\},K)
  1 (\vec{s}_1, \vec{s}_2, \dots, \vec{s}_K) \leftarrow \text{SELECTRANDOMSEEDS}(\{\vec{x}_1, \dots, \vec{x}_N\}, K)
  2 for k \leftarrow 1 to K
  3 do \vec{\mu}_k \leftarrow \vec{s}_k
       while stopping criterion has not been met
       do for k \leftarrow 1 to K
             do \omega_k \leftarrow \{\}
             for n \leftarrow 1 to N
  8
              do j \leftarrow \arg \min_{i'} |\vec{\mu}_{i'} - \vec{x}_n|
                    \omega_i \leftarrow \omega_i \cup \{\vec{x}_n\} (reassignment of vectors)
 10
              for k \leftarrow 1 to K
              do \vec{\mu}_k \leftarrow \frac{1}{|\omega_k|} \sum_{\vec{x} \in \omega_k} \vec{x} (recomputation of centroids)
 11
         return \{\vec{\mu}_1,\ldots,\vec{\mu}_K\}
 12
```

#### Initialization of K-means

- Random seed selection is just one of many ways K-means can be initialized.
- Random seed selection is not very robust: It's easy to get a suboptimal clustering.
- Better heuristics:
  - Select seeds not randomly, but using some heuristic (e.g., filter out outliers or find a set of seeds that has "good coverage" of the document space)
  - Use hierarchical clustering to find good seeds (next class)
  - Select i (e.g., i=10) different sets of seeds, do a K-means clustering for each, select the clustering with lowest RSS

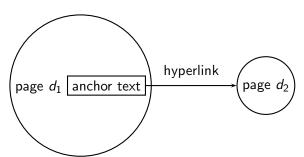
### Take-away today

- Anchor text: What exactly are links on the web and why are they important for IR?
- Citation analysis: the mathematical foundation of PageRank and link-based ranking
- PageRank: the original algorithm that was used for link-based ranking on the web
- Hubs & Authorities: an alternative link-based ranking algorithm

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## The web as a directed graph

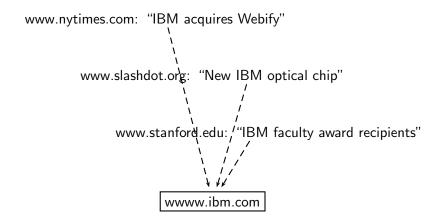


- Assumption 1: A hyperlink is a quality signal.
  - The hyperlink  $d_1 \rightarrow d_2$  indicates that  $d_1$ 's author deems  $d_2$  high-quality and relevant.
- Assumption 2: The anchor text describes the content of  $d_2$ .
  - We use anchor text somewhat loosely here for: the text surrounding the hyperlink.
  - Example: "You can find cheap cars <a href=http://...>here</a>."
  - Anchor text: "You can find cheap cars here"

[text of  $d_2$ ] only vs. [text of  $d_2$ ] + [anchor text  $o d_2$ ]

- Searching on [text of  $d_2$ ] + [anchor text  $\rightarrow d_2$ ] is often more effective than searching on [text of  $d_2$ ] only.
- Example: Query IBM
  - Matches IBM's copyright page
  - Matches many spam pages
  - Matches IBM wikipedia article
  - May not match IBM home page!
  - ...if IBM home page is mostly graphics
- Searching on [anchor text  $\rightarrow$   $d_2$ ] is better for the query *IBM*.
  - In this representation, the page with the most occurrences of IBM is www.ibm.com.

## Anchor text containing IBM pointing to www.ibm.com



### Indexing anchor text

- Thus: Anchor text is often a better description of a page's content than the page itself.
- Anchor text can be weighted more highly than document text.
   (based on Assumptions 1&2)

## Exercise: Assumptions underlying PageRank

- Assumption 1: A link on the web is a quality signal the author of the link thinks that the linked-to page is high-quality.
- Assumption 2: The anchor text describes the content of the linked-to page.
- Is assumption 1 true in general?
- Is assumption 2 true in general?

## Google bombs

- A Google bomb is a search with "bad" results due to maliciously manipulated anchor text.
- Google introduced a new weighting function in 2007 that fixed many Google bombs.
- Still some remnants: [dangerous cult] on Google, Bing, Yahoo
  - Coordinated link creation by those who dislike the Church of Scientology
- Defused Google bombs: [dumb motherf....], [who is a failure?], [evil empire]

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- 4 PageRank
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# Origins of PageRank: Citation analysis (1)

- Citation analysis: analysis of citations in the scientific literature
- Example citation: "Miller (2001) has shown that physical activity alters the metabolism of estrogens."
- We can view "Miller (2001)" as a hyperlink linking two scientific articles.
- One application of these "hyperlinks" in the scientific literature:
  - Measure the similarity of two articles by the overlap of other articles citing them.
  - This is called cocitation similarity.
  - Cocitation similarity on the web: Google's "related:" operator,
     e.g. [related:www.ford.com]

# Origins of PageRank: Citation analysis (2)

- Another application: Citation frequency can be used to measure the impact of a scientific article.
  - Simplest measure: Each citation gets one vote.
  - On the web: citation frequency = inlink count
- However: A high inlink count does not necessarily mean high quality . . .
- ... mainly because of link spam.
- Better measure: weighted citation frequency or citation rank
  - An citation's vote is weighted according to its citation impact.
  - Circular? No: can be formalized in a well-defined way.

# Origins of PageRank: Citation analysis (3)

- Better measure: weighted citation frequency or citation rank
- This is basically PageRank.
- PageRank was invented in the context of citation analysis by Pinsker and Narin in the 1960s.
- Citation analysis is a big deal: The budget and salary of this lecturer are / will be determined by the impact of his publications!

# Origins of PageRank: Summary

- We can use the same formal representation for
  - citations in the scientific literature
  - hyperlinks on the web
- Appropriately weighted citation frequency is an excellent measure of quality . . .
  - ... both for web pages and for scientific publications.
- Next: PageRank algorithm for computing weighted citation frequency on the web

#### Outline

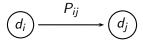
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## Model behind PageRank: Random walk

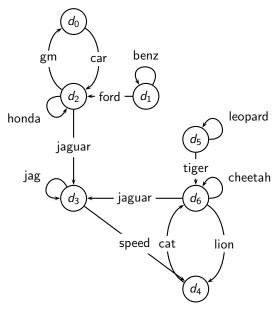
- Imagine a web surfer doing a random walk on the web
  - Start at a random page
  - At each step, go out of the current page along one of the links on that page, equiprobably
- In the steady state, each page has a long-term visit rate.
- This long-term visit rate is the page's PageRank.
- ullet PageRank = long-term visit rate = steady state probability  $\Box$

#### Formalization of random walk: Markov chains

- A Markov chain consists of N states, plus an N × N transition probability matrix P.
- state = page
- At each step, we are on exactly one of the pages.
- For  $1 \le i, j \le N$ , the matrix entry  $P_{ij}$  tells us the probability of j being the next page, given we are currently on page i.
- Clearly, for all i,  $\sum_{j=1}^{N} P_{ij} = 1$



# Example web graph



PageRank					
$d_0$	0.05				
$d_1$		0.04			
$d_2$		0.11			
$d_3$		0.25			
$d_4$	0.21				
$d_5$	$d_5$ 0.04				
$d_6$ 0.31					
PageRank(d2)<					
PageRank(d6):					
why?					
	а	h			
$d_0$	0.10	0.03	_		
$d_1$	0.01	0.04			
$d_2$	0.12	0.33			
$d_3$	0.47	0.18	00		
i	0.16	0.04	23		

# Link matrix for example

	$d_0$	$d_1$	$d_2$	$d_3$	$d_4$	$d_5$	$d_6$
$d_0$	0	0	1	0	0	0	0
$d_1$	0	1	1	0	0	0	0
$d_2$	1	0	1	1	0	0	0
$d_3$	0	0	0	1	1	0	0
$d_4$	0	0	0	0	0	0	1
$d_5$	0	0	0	0	0	1	1
$d_6$	0	0	0	1	1	0	1

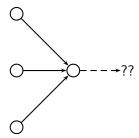
# Transition probability matrix P for example

	$d_0$	$d_1$	$d_2$	$d_3$	$d_4$	$d_5$	$d_6$
$d_0$	0.00	0.00	1.00	0.00	0.00	0.00	0.00
$d_1$	0.00	0.50	0.50	0.00	0.00	0.00	0.00
$d_2$	0.33	0.00	0.33	0.33	0.00	0.00	0.00
$d_3$	0.00	0.00	0.00	0.50	0.50	0.00	0.00
$d_4$	0.00	0.00	0.00	0.00	0.00	0.00	1.00
$d_5$	0.00	0.00	0.00	0.00	0.00	0.50	0.50
$d_6$	0.00	0.00	0.00	0.33	0.33	0.00	0.33

#### Long-term visit rate

- Recall: PageRank = long-term visit rate
- Long-term visit rate of page *d* is the probability that a web surfer is at page *d* at a given point in time.
- Next: what properties must hold of the web graph for the long-term visit rate to be well defined?
- The web graph must correspond to an ergodic Markov chain.
- First a special case: The web graph must not contain dead ends.

#### Dead ends



- The web is full of dead ends.
- Random walk can get stuck in dead ends.
- If there are dead ends, long-term visit rates are not well-defined (or non-sensical).

#### Teleporting – to get us out of dead ends

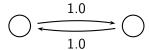
- At a dead end, jump to a random web page with prob. 1/N.
- At a non-dead end, with probability 10%, jump to a random web page (to each with a probability of 0.1/N).
- With remaining probability (90%), go out on a random hyperlink.
  - For example, if the page has 4 outgoing links: randomly choose one with probability (1-0.10)/4=0.225
- 10% is a parameter, the teleportation rate.
- Note: "jumping" from dead end is independent of teleportation rate.

## Result of teleporting

- With teleporting, we cannot get stuck in a dead end.
- But even without dead ends, a graph may not have well-defined long-term visit rates.
- More generally, we require that the Markov chain be ergodic.

### Ergodic Markov chains

- A Markov chain is ergodic iff it is irreducible and aperiodic.
- Irreducibility. Roughly: there is a path from any page to any other page.
- Aperiodicity. Roughly: The pages cannot be partitioned such that the random walker visits the partitions sequentially.



A non-ergodic Markov chain:

#### Ergodic Markov chains

- Theorem: For any ergodic Markov chain, there is a unique long-term visit rate for each state.
- This is the steady-state probability distribution.
- Over a long time period, we visit each state in proportion to this rate.
- It doesn't matter where we start.
- Teleporting makes the web graph ergodic.
- → Web-graph+teleporting has a steady-state probability distribution.
- ⇒ Each page in the web-graph+teleporting has a PageRank.

#### Where we are

- We now know what to do to make sure we have a well-defined PageRank for each page.
- Next: how to compute PageRank

## Formalization of "visit": Probability vector

- A probability (row) vector  $\vec{x} = (x_1, \dots, x_N)$  tells us where the random walk is at any point.
- Example:  $\begin{pmatrix} 0 & 0 & 0 & \dots & 1 & \dots & 0 & 0 & 0 \\ 1 & 2 & 3 & \dots & i & \dots & N-2 & N-1 & N \end{pmatrix}$
- More generally: the random walk is on page i with probability  $x_i$ .
- Example:

$$\sum x_i = 1$$

## Change in probability vector

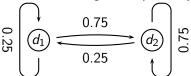
- If the probability vector is  $\vec{x} = (x_1, \dots, x_N)$  at this step, what is it at the next step?
- Recall that row i of the transition probability matrix P tells us where we go next from state i.
- So from  $\vec{x}$ , our next state is distributed as  $\vec{x}P$ .

### Steady state in vector notation

- The steady state in vector notation is simply a vector  $\vec{\pi} = (\pi_1, \pi_2, \dots, \pi_N)$  of probabilities.
- (We use  $\vec{\pi}$  to distinguish it from the notation for the probability vector  $\vec{x}$ .)
- $\pi_i$  is the long-term visit rate (or PageRank) of page i.
- So we can think of PageRank as a very long vector one entry per page.

# Steady-state distribution: Example

• What is the PageRank / steady state in this example?



## Steady-state distribution: Example

	$P_t(d_1)$	$P_t(d_2)$	
			$P_{11} = 0.25$ $P_{12} = 0.75$ $P_{21} = 0.25$ $P_{22} = 0.75$
			$P_{21} = 0.25$ $P_{22} = 0.75$
$t_0$	0.25	0.75	0.25 0.75
$t_1$	0.25	0.75	(convergence)

PageRank

vector = 
$$\vec{\pi} = (\pi_1, \pi_2) = (0.25, 0.75)$$
  
 $P_t(d_1) = P_{t-1}(d_1) * P_{11} + P_{t-1}(d_2) * P_{21}$ 

$$P_t(d_2) = P_{t-1}(d_1) * P_{12} + P_{t-1}(d_2) * P_{22}$$

## How do we compute the steady state vector?

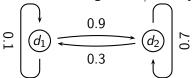
- In other words: how do we compute PageRank?
- Recall:  $\vec{\pi} = (\pi_1, \pi_2, \dots, \pi_N)$  is the PageRank vector, the vector of steady-state probabilities . . .
- ... and if the distribution in this step is  $\vec{x}$ , then the distribution in the next step is  $\vec{x}P$ .
- But  $\vec{\pi}$  is the steady state!
- So:  $\vec{\pi} = \vec{\pi}P$
- Solving this matrix equation gives us  $\vec{\pi}$ .
- $\bullet$   $\vec{\pi}$  is the principal left eigenvector for P . . .
- ... that is,  $\vec{\pi}$  is the left eigenvector with the largest eigenvalue.
- All transition probability matrices have largest eigenvalue 1.

## One way of computing the PageRank $\vec{\pi}$

- Start with any distribution  $\vec{x}$ , e.g., uniform distribution
- After one step, we're at  $\vec{x}P$ .
- After two steps, we're at  $\vec{x}P^2$ .
- After k steps, we're at  $\vec{x}P^k$ .
- Algorithm: multiply  $\vec{x}$  by increasing powers of P until convergence.
- This is called the power method.
- Recall: regardless of where we start, we eventually reach the steady state  $\vec{\pi}$ .
- Thus: we will eventually (in asymptotia) reach the steady state.

#### Power method: Example

• What is the PageRank / steady state in this example?



• The steady state distribution (= the PageRanks) in this example are 0.25 for  $d_1$  and 0.75 for  $d_2$ .

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## Computing PageRank: Power method

	$X_1$ $P_t(d_1)$	$X_2$ $P_t(d_2)$			
	1 (((1)	ι (α2)	$P_{11} = 0.1$	$P_{12} = 0.9$	
				$P_{22} = 0.7$	
$t_0$	0	1	0.3	0.7	$=\vec{x}P$
$t_1$	0.3	0.7	0.24	0.76	$=\vec{x}P^2$
$t_2$	0.24	0.76	0.252	0.748	$=\vec{x}P^3$
$t_3$	0.252	0.748	0.2496	0.7504	$=\vec{x}P^4$
$t_{\infty}$	0.25	0.75	0.25	0.75	$=\vec{x}P^{\infty}$
Dagal	المماد بامما	⇒ '	( )	(0.05.0.75)	-

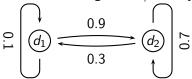
PageRank vector  $= \vec{\pi} = (\pi_1, \pi_2) = (0.25, 0.75)$ 

$$P_t(d_1) = P_{t-1}(d_1) * P_{11} + P_{t-1}(d_2) * P_{21}$$

$$P_t(d_2) = P_{t-1}(d_1) * P_{12} + P_{t-1}(d_2) * P_{22}$$

#### Power method: Example

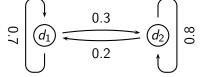
• What is the PageRank / steady state in this example?



• The steady state distribution (= the PageRanks) in this example are 0.25 for  $d_1$  and 0.75 for  $d_2$ .

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# Exercise: Compute PageRank using power method



#### Solution

	$P_t(d_1)$	$X_2$ $P_t(d_2)$			_
			$P_{11} = 0.7$	$P_{12} = 0.3$ $P_{22} = 0.8$	-
$t_0$	0	1	$r_{21} - 0.2$	$r_{22} = 0.0$	-
$t_1$	0.2	0.8	0.3	0.7	PageRank
$t_2$	0.3	0.7	0.35	0.65	
$t_3$	0.35	0.65	0.375	0.625	
$t_{\infty}$	0.4	0.6	0.4	0.6	

vector = 
$$\vec{\pi} = (\pi_1, \pi_2) = (0.4, 0.6)$$
  
 $P_t(d_1) = P_{t-1}(d_1) * P_{11} + P_{t-1}(d_2) * P_{21}$ 

$$P_t(d_2) = P_{t-1}(d_1) * P_{12} + P_{t-1}(d_2) * P_{22}$$

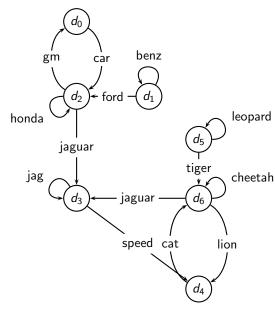
### PageRank summary

- Preprocessing
  - Given graph of links, build matrix P
  - Apply teleportation
  - From modified matrix, compute  $\vec{\pi}$
  - $\vec{\pi}_i$  is the PageRank of page i.
- Query processing
  - Retrieve pages satisfying the query
  - Rank them by their PageRank
  - Return reranked list to the user

#### PageRank issues

- Real surfers are not random surfers.
  - Examples of nonrandom surfing: back button, short vs. long paths, bookmarks, directories – and search!
  - $\bullet \ \to \mathsf{Markov} \ \mathsf{model} \ \mathsf{is} \ \mathsf{not} \ \mathsf{a} \ \mathsf{good} \ \mathsf{model} \ \mathsf{of} \ \mathsf{surfing}.$
  - But it's good enough as a model for our purposes.
- Simple PageRank ranking (as described on previous slide) produces bad results for many pages.
  - Consider the query [video service]
  - The Yahoo home page (i) has a very high PageRank and (ii) contains both video and service.
  - If we rank all Boolean hits according to PageRank, then the Yahoo home page would be top-ranked.
  - Clearly not desirable
- In practice: rank according to weighted combination of raw text match, anchor text match, PageRank & other factors
- ullet  $\rightarrow$  see lecture on Learning to Rank

# Example web graph



	PageRank							
$d_0$		0.05						
$d_1$		0.04						
$d_2$		0.11						
$d_3$		0.25						
$d_4$		0.21						
$d_5$ 0.04								
$d_6$ 0.31								
Page	Rank(d	12)<						
Page	Rank(d	l6):						
why?	,							
	а	h						
$d_0$	0.10	0.03	_					
$d_1$	0.01	0.04						
$d_2$	0.12	0.33						
$d_3$	0.47	0.18	4-					
_ i	0.16	0.04	47					

/ 80

# Transition (probability) matrix

	$d_0$	$d_1$	$d_2$	$d_3$	$d_4$	$d_5$	$d_6$
$d_0$	0.00	0.00	1.00	0.00	0.00	0.00	0.00
$d_1$	0.00	0.50	0.50	0.00	0.00	0.00	0.00
$d_2$	0.33	0.00	0.33	0.33	0.00	0.00	0.00
$d_3$	0.00	0.00	0.00	0.50	0.50	0.00	0.00
$d_4$	0.00	0.00	0.00	0.00	0.00	0.00	1.00
$d_5$	0.00	0.00	0.00	0.00	0.00	0.50	0.50
$d_6$	0.00	0.00	0.00	0.33	0.33	0.00	0.33

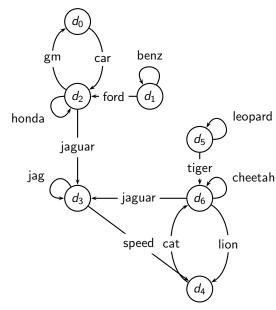
# Transition matrix with teleporting

	$d_0$	$d_1$	$d_2$	$d_3$	$d_4$	$d_5$	$d_6$
$d_0$	0.02	0.02	0.88	0.02	0.02	0.02	0.02
$d_1$	0.02	0.45	0.45	0.02	0.02	0.02	0.02
$d_2$	0.31	0.02	0.31	0.31	0.02	0.02	0.02
$d_3$	0.02	0.02	0.02	0.45	0.45	0.02	0.02
$d_4$	0.02	0.02	0.02	0.02	0.02	0.02	0.88
$d_5$	0.02	0.02	0.02	0.02	0.02	0.45	0.45
$d_6$	0.02	0.02	0.02	0.31	0.31	0.02	0.31

## Power method vectors $\vec{x}P^k$

	$\vec{x}$	$\vec{x}P^1$	$\vec{x}P^2$	$\vec{x}P^3$	$\vec{x}P^4$	$\vec{x}P^5$	$\vec{x}P^6$	$\vec{x}P^7$	$\vec{x}P^8$	$\vec{x}P^9$	$\vec{x}P^{10}$	$\vec{x}P^{11}$	$\vec{x}P^{12}$	$\vec{x}P^{13}$
$d_0$	0.14	0.06	0.09	0.07	0.07	0.06	0.06	0.06	0.06	0.05	0.05	0.05	0.05	0.05
$d_1$	0.14	0.08	0.06	0.04	0.04	0.04	0.04	0.04	0.04	0.04	0.04	0.04	0.04	0.04
$d_2$	0.14	0.25	0.18	0.17	0.15	0.14	0.13	0.12	0.12	0.12	0.12	0.11	0.11	0.11
$d_3$	0.14	0.16	0.23	0.24	0.24	0.24	0.24	0.25	0.25	0.25	0.25	0.25	0.25	0.25
$d_4$	0.14	0.12	0.16	0.19	0.19	0.20	0.21	0.21	0.21	0.21	0.21	0.21	0.21	0.21
$d_5$	0.14	0.08	0.06	0.04	0.04	0.04	0.04	0.04	0.04	0.04	0.04	0.04	0.04	0.04
$d_6$	0.14	0.25	0.23	0.25	0.27	0.28	0.29	0.29	0.30	0.30	0.30	0.30	0.31	0.31

# Example web graph



$\sf PageRank$								
$d_0$		0.05						
$d_1$		0.04						
$d_2$		0.11						
$d_3$		0.25						
$d_4$		0.21						
$d_5$		0.04						
$d_6$	$d_6$ 0.31							
Page	Rank(c	12)<						
Page	Rank(c	l6):						
why?								
	а	h						
$d_0$	0.10	0.03	_					
$d_1$	0.01	0.04						
$d_2$	0.12	0.33						
$d_3$	0.47	0.18	== /.00					
<u> </u>	0.16	0.04	51 / 80					

### How important is PageRank?

- Frequent claim: PageRank is the most important component of web ranking.
- The reality:
  - There are several components that are at least as important: e.g., anchor text, phrases, proximity, tiered indexes . . .
  - Rumor has it that PageRank in its original form (as presented here) now has a negligible impact on ranking!
  - However, variants of a page's PageRank are still an essential part of ranking.
  - Adressing link spam is difficult and crucial.

#### Outline

- Recap
- 2 Anchor text
- Citation analysis
- PageRank
- **5** HITS: Hubs & Authorities

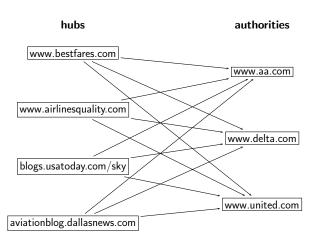
### HITS - Hyperlink-Induced Topic Search

- Premise: there are two different types of relevance on the web.
- Relevance type 1: Hubs. A hub page is a good list of [links to pages answering the information need].
  - E.g., for query [chicago bulls]: Bob's list of recommended resources on the Chicago Bulls sports team
- Relevance type 2: Authorities. An authority page is a direct answer to the information need.
  - The home page of the Chicago Bulls sports team
  - By definition: Links to authority pages occur repeatedly on hub pages.
- Most approaches to search (including PageRank ranking) don't make the distinction between these two very different types of relevance.

#### Hubs and authorities: Definition

- A good hub page for a topic links to many authority pages for that topic.
- A good authority page for a topic is linked to by many hub pages for that topic.
- Circular definition we will turn this into an iterative computation.

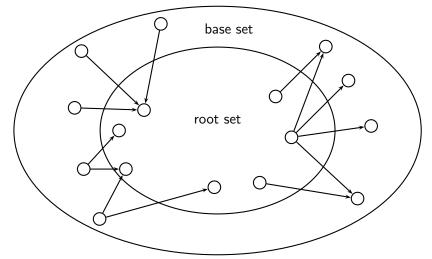
### Example for hubs and authorities



### How to compute hub and authority scores

- Do a regular web search first
- Call the search result the root set
- Find all pages that are linked to or link to pages in the root set
- Call this larger set the base set
- Finally, compute hubs and authorities for the base set (which we'll view as a small web graph)

## Root set and base set (1)



The root set Nodes that root set nodes link to Nodes that link to root set nodes The base set

# Root set and base set (2)

- Root set typically has 200–1000 nodes.
- Base set may have up to 5000 nodes.
- Computation of base set, as shown on previous slide:
  - Follow outlinks by parsing the pages in the root set
  - Find d's inlinks by searching for all pages containing a link to d

## Hub and authority scores

- Compute for each page d in the base set a hub score h(d) and an authority score a(d)
- Initialization: for all d: h(d) = 1, a(d) = 1
- Iteratively update all h(d), a(d)
- After convergence:
  - Output pages with highest h scores as top hubs
  - Output pages with highest a scores as top authorities
  - So we output two ranked lists

#### Iterative update

For all 
$$d$$
:  $h(d) = \sum_{d \in \mathcal{A}} a(y)$ 

- For all d:  $h(d) = \sum_{d \mapsto y} a(y)$
- For all d:  $a(d) = \sum_{y \mapsto d} h(y)$  ③
- Iterate these two steps until convergence

#### **Details**

- Scaling
  - To prevent the a() and h() values from getting too big, can scale down after each iteration
  - Scaling factor doesn't really matter.
  - We care about the relative (as opposed to absolute) values of the scores.
- In most cases, the algorithm converges after a few iterations.

## Authorities for query [Chicago Bulls]

```
0.85 www.nba.com/bulls
0.25 www.essex1.com/people/jmiller/bulls.htm
    "da Bulls"
0.20 www.nando.net/SportServer/basketball/nba/chi.html
    "The Chicago Bulls"
0.15 users.aol.com/rynocub/bulls.htm
    "The Chicago Bulls Home Page"
0.13 www.geocities.com/Colosseum/6095
    "Chicago Bulls"
(Ben-Shaul et al, WWW8)
```

## The authority page for [Chicago Bulls]



## Hubs for query [Chicago Bulls]

- 1.62 www.geocities.com/Colosseum/1778
   "Unbelieveabulls!!!!!"1.24 www.webring.org/cgi-bin/webring?ring=chbulls
- "Erin's Chicago Bulls Page"
- 0.74 www.geocities.com/Hollywood/Lot/3330/Bulls.html "Chicago Bulls"
- 0.52 www.nobull.net/web\_position/kw-search-15-M2.htm "Excite Search Results: bulls"
- 0.52 www.halcyon.com/wordsltd/bball/bulls.htm "Chicago Bulls Links"

(Ben-Shaul et al, WWW8)

## A hub page for [Chicago Bulls]



Orlando Magic Tick Philadelphia 76ers Phoenix Suns Ticke Portland Trail Blaze Sacramento Kings San Antonio Spurs Toronto Raptors Tio Utah Jazz Tickets Washington Wizard NBA All-Star We **NBA Finals Tick NBA Playoffs Tid** 

Minnesota Timbery New Jersey Nets T New Orleans Home New York Knicks Ti Oklahoma City Thu

All NBA Tickets

**Event Selecti** Sporting Even

MLB Baseball

NFL Football 7 **NBA Basketba NHL Hockey T** 

Returning Cus

NASCAR Racin **PGA Golf Tick** 

**Tennis Tickets** 

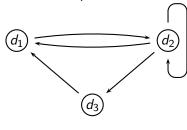
City Guide | NCAA Footbal

#### Hubs & Authorities: Comments

- HITS can pull together good pages regardless of page content.
- Once the base set is assembled, we only do link analysis, no text matching.
- Pages in the base set often do not contain any of the query words.
- In theory, an English query can retrieve Japanese-language pages!
  - If supported by the link structure between English and Japanese pages
- Danger: topic drift the pages found by following links may not be related to the original query.

#### Proof of convergence

- We define an N × N adjacency matrix A. (We called this the link matrix earlier.
- For  $1 \le i, j \le N$ , the matrix entry  $A_{ij}$  tells us whether there is a link from page i to page j ( $A_{ij} = 1$ ) or not ( $A_{ij} = 0$ ).
- Example:



	$d_1$	$d_2$	$d_3$
$d_1$	0	1	0
$d_2$	1	1	1
$d_3$	1	0	0

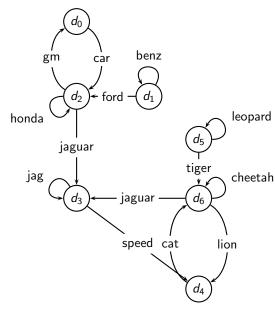
## Write update rules as matrix operations

- Define the hub vector  $\vec{h} = (h_1, \dots, h_N)$  as the vector of hub scores.  $h_i$  is the hub score of page  $d_i$ .
- Similarly for  $\vec{a}$ , the vector of authority scores
- Now we can write  $h(d) = \sum_{d \mapsto y} a(y)$  as a matrix operation:  $\vec{h} = A\vec{a} \dots$
- ... and we can write  $a(d) = \sum_{y \mapsto d} h(y)$  as  $\vec{a} = A^T \vec{h}$
- HITS algorithm in matrix notation:
  - Compute  $\vec{h} = A\vec{a}$
  - Compute  $\vec{a} = A^T \vec{h}$
  - Iterate until convergence

## HITS as eigenvector problem

- HITS algorithm in matrix notation. Iterate:
  - Compute  $\vec{h} = A\vec{a}$
  - Compute  $\vec{a} = A^T \vec{h}$
- By substitution we get:  $\vec{h} = AA^T \vec{h}$  and  $\vec{a} = A^T A \vec{a}$
- Thus,  $\vec{h}$  is an eigenvector of  $AA^T$  and  $\vec{a}$  is an eigenvector of  $A^TA$ .
- So the HITS algorithm is actually a special case of the power method and hub and authority scores are eigenvector values.
- HITS and PageRank both formalize link analysis as eigenvector problems.

# Example web graph



	PageRank							
$d_0$		0.05						
$d_1$		0.04						
$d_2$		0.11						
$d_3$		0.25						
$d_4$		0.21						
$d_5$	•							
$d_6$ 0.31								
Page	Rank(d	12)<						
Page	Rank(d	l6):						
why?	,	,						
-	а	h						
$d_0$	0.10	0.03	_					
$d_1$	0.01	0.04						
$d_2$	0.12	0.33						
$d_3$	0.47	0.18						
<u> </u>	0.16	0.04	71					

/ 80

#### Raw matrix A for HITS

	$d_0$	$d_1$	$d_2$	$d_3$	$d_4$	$d_5$	$d_6$
$d_0$	0	0	1	0	0	0	0
$d_1$	0	1	1	0	0	0	0
$d_2$	1	0	1	2	0	0	0
$d_3$	0	0	0	1	1	0	0
$d_4$	0	0	0	0	0	0	1
$d_5$	0	0	0	0	0	1	1
$d_6$	0	0	0	2	1	0	1

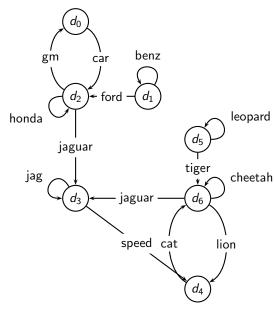
# Hub vectors $h_0$ , $\vec{h}_i = \frac{1}{d_i} A \cdot \vec{a}_i$ , $i \geq 1$

	$\vec{h}_0$	$ec{h}_1$	$\vec{h}_2$	$\vec{h}_3$	$ec{h}_4$	$ec{h}_5$
$d_0$	0.14	0.06	0.04	0.04	0.03	0.03
$d_1$	0.14	0.08	0.05	0.04	0.04	0.04
$d_2$	0.14	0.28	0.32	0.33	0.33	0.33
$d_3$	0.14	0.14	0.17	0.18	0.18	0.18
$d_4$	0.14	0.06	0.04	0.04	0.04	0.04
$d_5$	0.14	0.08	0.05	0.04	0.04	0.04
$d_6$	0.14	0.30	0.33	0.34	0.35	0.35

# Authority vectors $\vec{a}_i = \frac{1}{c_i} A^T \cdot \vec{h}_{i-1}, i \geq 1$

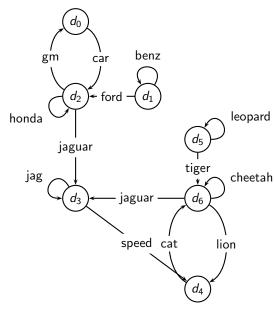
	$ec{a}_1$	$\vec{a}_2$	$\vec{a}_3$	$\vec{a}_4$	$\vec{a}_5$	$\vec{a}_6$	$\vec{a}_7$
$d_0$	0.06	0.09	0.10	0.10	0.10	0.10	0.10
$d_1$	0.06	0.03	0.01	0.01	0.01	0.01	0.01
$d_2$	0.19	0.14	0.13	0.12	0.12	0.12	0.12
$d_3$	0.31	0.43	0.46	0.46	0.46	0.47	0.47
$d_4$	0.13	0.14	0.16	0.16	0.16	0.16	0.16
$d_5$	0.06	0.03	0.02	0.01	0.01	0.01	0.01
$d_6$	0.19	0.14	0.13	0.13	0.13	0.13	0.13

# Example web graph



PageRank								
$d_0$								
$d_1$		0.04						
$d_2$		0.11						
$d_3$		0.25						
$d_4$	$d_4$ 0.21							
$d_5$	<i>d</i> <sub>5</sub> 0.04							
$d_6$	$d_6$ 0.31							
PageRank(d2)<								
PageRank(d6):								
why?	•							
	а	h						
$d_0$	0.10	0.03						
$d_1$	0.01	0.04						
$d_2$	0.12	0.33						
$d_3$	0.47	0.18	75					
,	0.16	0.04	(1)					

# Example web graph



PageRank									
$d_0$		0.05							
$d_1$		0.04							
$d_2$		0.11							
$d_3$		0.25							
$d_4$		0.21							
$d_5$	$d_5$ 0.0								
$d_6$		0.31							
PageRank(d2)<									
PageRank(d6):									
why?									
	а	h							
$d_0$	0.10	0.03	_						
$d_1$	0.01	0.04							
$d_2$	0.12	0.33							
$d_3$	0.47	0.18							
,	0.16	0.04	76						

### PageRank vs. HITS: Discussion

- PageRank can be precomputed, HITS has to be computed at query time.
  - HITS is too expensive in most application scenarios.
- PageRank and HITS make two different design choices concerning (i) the eigenproblem formalization (ii) the set of pages to apply the formalization to.
- These two are orthogonal.
  - We could also apply HITS to the entire web and PageRank to a small base set.
- Claim: On the web, a good hub almost always is also a good authority.
- The actual difference between PageRank ranking and HITS ranking is therefore not as large as one might expect.

#### Exercise

• Why is a good hub almost always also a good authority?

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#### Take-away today

- Anchor text: What exactly are links on the web and why are they important for IR?
- Citation analysis: the mathematical foundation of PageRank and link-based ranking
- PageRank: the original algorithm that was used for link-based ranking on the web
- Hubs & Authorities: an alternative link-based ranking algorithm

#### Resources

- Chapter 21 of IIR
- Resources at http://cislmu.org
  - American Mathematical Society article on PageRank (popular science style)
  - Jon Kleinberg's home page (main person behind HITS)
  - A Google bomb and its defusing
  - Google's official description of PageRank: PageRank reflects our view of the importance of web pages by considering more than 500 million variables and 2 billion terms. Pages that we believe are important pages receive a higher PageRank and are more likely to appear at the top of the search results.