# Einführung in die Computerlinguistik Decision Trees

Hinrich Schütze & Robert Zangenfeind

Centrum für Informations- und Sprachverarbeitung, LMU München

2015-11-23

This lecture is based on Russell and Norvig's introduction to artificial intelligence.

Introduction to decision trees

- Introduction to decision trees
- (Almost) fully understand one complex machine learning model

- Introduction to decision trees
- (Almost) fully understand one complex machine learning model
- Basis for hands-on training and applying this model in next practical exercise

- Introduction to decision trees
- (Almost) fully understand one complex machine learning model
- Basis for hands-on training and applying this model in next practical exercise
- Exam: questions testing basic understanding of decision trees, but no formulas

#### Overview

Decision trees

2 NLTK

Decision trees

2 NLTK

#### Attributes

#### **Attributes**

As an example, we will build a decision tree to decide whether to wait for a table at a restaurant. The aim here is to learn a definition for the **goal predicate** *WillWait*. First we list the attributes that we will consider as part of the input:

- 1. Alternate: whether there is a suitable alternative restaurant nearby.
- 2. Bar: whether the restaurant has a comfortable bar area to wait in.
- 3. Fri/Sat: true on Fridays and Saturdays.
- 4. Hungry: whether we are hungry.
- 5. Patrons: how many people are in the restaurant (values are None, Some, and Full).
- 6. Price: the restaurant's price range (\$, \$\$, \$\$\$).
- 7. Raining: whether it is raining outside.
- 8. Reservation: whether we made a reservation.
- 9. Type: the kind of restaurant (French, Italian, Thai, or burger).
- 10. WaitEstimate: the wait estimated by the host (0-10 minutes, 10-30, 30-60, or >60).

#### Decision tree for deciding whether to wait

MITK

## Decision tree for deciding whether to wait

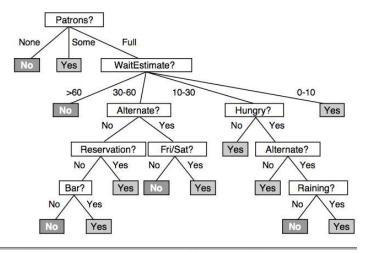


Figure 18.2 A decision tree for deciding whether to wait for a table.

(explain one path)

## Expressiveness of decision trees

#### Expressiveness of decision trees

#### 18.3.2 Expressiveness of decision trees

A Boolean decision tree is equivalent to a logical expression of the form

$$Goal \Leftrightarrow (Path_1 \vee Path_2 \vee \cdots)$$
,

where each  $Path_i$  has the form

$$Path = (A_i = a_i \wedge A_j = a_j \wedge \cdots)$$
,

that is, the goal is true if and only if there is a path through the tree that ends in a positive result. Since this is equivalent to disjunctive normal form, that means that any function in propositional logic can be expressed as a decision tree. As an example, the rightmost path in Figure 18.2 is

$$Path = (Patrons = Full \land WaitEstimate = 0-10)$$
.

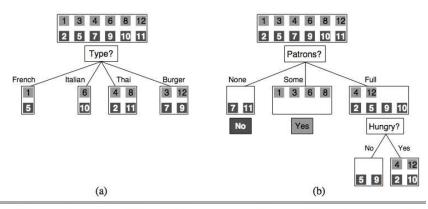
# Training set

## Training set

Example	Input Attributes									Goal	
Danipio	Alt	Bar	Fri	Hun	Pat	Price	Rain	Res	Type Est	Est	WillWait
<b>X</b> 1	Yes	No	No	Yes	Some	\$\$\$	No	Yes	French	0–10	$y_1 = Yes$
$\mathbf{x}_2$	Yes	No	No	Yes	Full	\$	No	No	Thai	30-60	$y_2 = No$
$\mathbf{x}_3$	No	Yes	No	No	Some	\$	No	No	Burger	0-10	$y_3 = Yes$
$\mathbf{x}_4$	Yes	No	Yes	Yes	Full	\$	Yes	No	Thai	10-30	$y_4 = Yes$
$\mathbf{x}_5$	Yes	No	Yes	No	Full	\$\$\$	No	Yes	French	>60	$y_5 = No$
$\mathbf{x}_6$	No	Yes	No	Yes	Some	\$\$	Yes	Yes	Italian	0-10	$y_6 = Yes$
<b>X</b> 7	No	Yes	No	No	None	\$	Yes	No	Burger	0-10	$y_7 = No$
<b>x</b> <sub>8</sub>	No	No	No	Yes	Some	\$\$	Yes	Yes	Thai	0-10	$y_8 = Yes$
$\mathbf{x}_9$	No	Yes	Yes	No	Full	\$	Yes	No	Burger	>60	$y_9 = No$
$\mathbf{x}_{10}$	Yes	Yes	Yes	Yes	Full	\$\$\$	No	Yes	Italian	10-30	$y_{10} = No$
<b>x</b> <sub>11</sub>	No	No	No	No	None	\$	No	No	Thai	0-10	$y_{11} = No$
$\mathbf{x}_{12}$	Yes	Yes	Yes	Yes	Full	\$	No	No	Burger	30-60	$y_{12} = Yes$

#### Usefulness of attributes

#### Usefulness of attributes



**Figure 18.4** Splitting the examples by testing on attributes. At each node we show the positive (light boxes) and negative (dark boxes) examples remaining. (a) Splitting on *Type* brings us no nearer to distinguishing between positive and negative examples. (b) Splitting on *Patrons* does a good job of separating positive and negative examples. After splitting on *Patrons*, *Hungry* is a fairly good second test.

# Decision tree learning

#### Decision tree learning

 $\begin{array}{ll} \textbf{function} & \text{DECISION-TREE-LEARNING} (examples, attributes, parent\_examples) & \textbf{returns} \\ \textbf{a} \text{ tree} \end{array}$ 

```
if examples is empty then return Plurality-Value(parent_examples) else if all examples have the same classification then return the classification else if attributes is empty then return Plurality-Value(examples) else attr \leftarrow \operatorname{argmax}_{a \in attributes} \text{ IMPORTANCE}(a, examples) \\ tree \leftarrow a \text{ new decision tree with root test } attr \\ \text{for each value } v_i \text{ of } attr \text{ do} \\ exs \leftarrow \{e: e \in examples \text{ and } e.attr = v_i\} \\ subtree \leftarrow \text{DECISION-TREE-LEARNING}(exs, attributes - attr, examples) \\ \text{add a branch to } tree \text{ with label } (attr = v_i) \text{ and subtree } subtree \\ \text{return } tree
```

**Figure 18.5** The decision-tree learning algorithm. The function IMPORTANCE is described in Section 18.3.4. The function PLURALITY-VALUE selects the most common output value among a set of examples, breaking ties randomly.

#### Induced decision tree

#### Induced decision tree

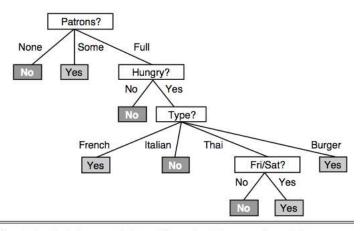


Figure 18.6 The decision tree induced from the 12-example training set.

#### Hand-designed vs. induced trees

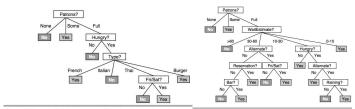


Figure 18.6 The decision tree induced from the 12-example training set.

Figure 18.2 A decision tree for deciding whether to wait for a table.

**function** DECISION-TREE-LEARNING(examples, attributes, parent\_examples) **returns** a tree

```
if examples is empty then return PLURALITY-VALUE(parent_examples) else if all examples have the same classification then return the classification else if attributes is empty then return PLURALITY-VALUE(examples) else attr \leftarrow \mathop{\mathrm{argmax}}_{a \ \in \ attributes} \text{ IMPORTANCE}(a, examples) \\ tree \leftarrow \text{ a new decision tree with root test } attr for each value v_i of attr do exs \leftarrow \{e : e \in examples \text{ and } e.attr = v_i\} \\ subtree \leftarrow \text{DECISION-TREE-LEARNING}(exs, attributes - attr, examples) \\ \text{add a branch to } tree \text{ with label} (attr = v_i) \text{ and subtree } subtree return tree
```

**Figure 18.5** The decision-tree learning algorithm. The function IMPORTANCE is described in Section 18.3.4. The function PLURALITY-VALUE selects the most common output value among a set of examples, breaking ties randomly.

# Entropy

$$H(V) = \sum_{i} P(v_i) \log_2 \frac{1}{P(v_i)}$$
$$= -\sum_{i} P(v_i) \log_2 P(v_i)$$

# Entropy: Restaurant example

$$H(V) = \sum_{i} P(v_i) \log_2 \frac{1}{P(v_i)}$$
$$= -\sum_{i} P(v_i) \log_2 P(v_i)$$

$$H(Goal) = -(\frac{p}{p+n}\log_2\frac{p}{p+n} + \frac{n}{p+n}\log_2\frac{n}{p+n})$$

p is number of positive examples ("will wait"),n is number of negative examples ("will not wait")

$$H(Goal) = -\left(\frac{p}{p+n}\log_2\frac{p}{p+n} + \frac{n}{p+n}\log_2\frac{n}{p+n}\right)$$

$$= -\left(\frac{6}{6+6}\log_2\frac{6}{6+6} + \frac{6}{6+6}\log_2\frac{6}{6+6}\right)$$

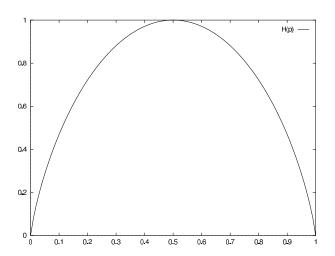
$$= -2\frac{6}{6+6}\log_2\frac{6}{6+6}$$

$$= \log_2 2$$

$$= 1$$

# Plot of entropy

#### Plot of entropy



#### Notation

$$H(T(q)) = -q \log_2 q - (1-q) \log_2 (1-q)$$

#### Remainder = Remaining uncertainty

Remainder(A) = 
$$\sum_{i=1}^{|v(A)|} \frac{p_i + n_i}{p + n} H(T(\frac{p_i}{p_i + n_i}))$$

 $p_i$  is the number of positive examples that have attribute value  $v_i$   $(A = v_i)$  and  $n_i$  is the number of negative examples that have attribute value  $v_i$   $(A = v_i)$ .

# Information gain

## Information gain

$$Gain(A) = H(T(\frac{p}{p+n})) - Remainder(A)$$

#### Information gain for Pt = Patrons and Tp = Type

$$\begin{aligned} \mathsf{Gain}(\mathsf{Tp}) &= 1 - [\frac{2}{12} H(T(\frac{1}{2})) + \frac{2}{12} H(T(\frac{1}{2})) + \frac{4}{12} H(T(\frac{2}{4})) + \frac{4}{12} H(T(\frac{2}{4}))] \\ &= 1 - [\frac{2}{12} + \frac{2}{12} + \frac{4}{12} + \frac{4}{12}] \\ &= 0 \text{ bits} \\ \mathsf{Gain}(\mathsf{Pt}) &= 1 - [\frac{2}{12} H(T(\frac{0}{2})) + \frac{4}{12} H(T(\frac{4}{4})) + \frac{6}{12} H(T(\frac{2}{6}))] \\ &= 1 - [0 + 0 + \frac{1}{2} H(T(\frac{1}{2}))] \end{aligned}$$

 $\approx$  0.541 bits

# Entropy exercise (goal)

example	decision	type	day of week	colleague?
<i>x</i> <sub>1</sub>	yes	french	saturday	''let's stay''
<i>X</i> <sub>2</sub>	no	thai	friday	''let's go''
<i>X</i> 3	yes	burger	saturday	''let's stay''
X4	yes	thai	sunday	''let's stay''
<i>X</i> <sub>5</sub>	no	french	friday	''let's go''
<i>x</i> <sub>6</sub>	yes	italian	sunday	''let's stay''
X7	no	burger	friday	''let's go''
<i>X</i> 8	yes	thai	sunday	''let's stay''
<i>X</i> 9	no	burger	friday	"not sure"
X <sub>10</sub>	no	italian	friday	''not sure''
X <sub>11</sub>	no	thai	friday	"not sure"
X <sub>12</sub>	yes	burger	sunday	"not sure"

**function** DECISION-TREE-LEARNING(examples, attributes, parent\_examples) **returns** a tree

```
if examples is empty then return PLURALITY-VALUE(parent_examples) else if all examples have the same classification then return the classification else if attributes is empty then return PLURALITY-VALUE(examples) else attr \leftarrow \underset{a=0}{\operatorname{attributes}} \text{ IMPORTANCE}(a, examples) \\ tree \leftarrow \underset{a=0}{\operatorname{anew}} \underset{a=0}{\operatorname{attributes}} \text{ IMPORTANCE}(a, examples) \\ tree \leftarrow \underset{a=0}{\operatorname{anew}} \underset{a=0}{\operatorname{attributes}} \text{ and } e.attr \\ \text{for each value } v_i \text{ of } attr \text{ do} \\ exs \leftarrow \{e: e \in examples \text{ and } e.attr = v_i\} \\ subtree \leftarrow \underset{a=0}{\operatorname{DECISION-TREE-LEARNING}(exs, attributes - attr, examples)} \\ \text{add a branch to } tree \text{ with label } (attr = v_i) \text{ and subtree } subtree \\ \text{return } tree
```

**Figure 18.5** The decision-tree learning algorithm. The function IMPORTANCE is described in Section 18.3.4. The function PLURALITY-VALUE selects the most common output value among a set of examples, breaking ties randomly.

#### Outline

Decision trees

2 NLTK

NLTK decision tree demo

- Introduction to decision trees
- (Almost) fully understand one complex machine learning model
- Basis for hands-on training and applying this model in next practical exercise
- Exam: questions testing basic understanding of decision trees, but no formulas